

## Statewide Accounting, Training &amp; Seminars

## CONTINUATION BUDGET

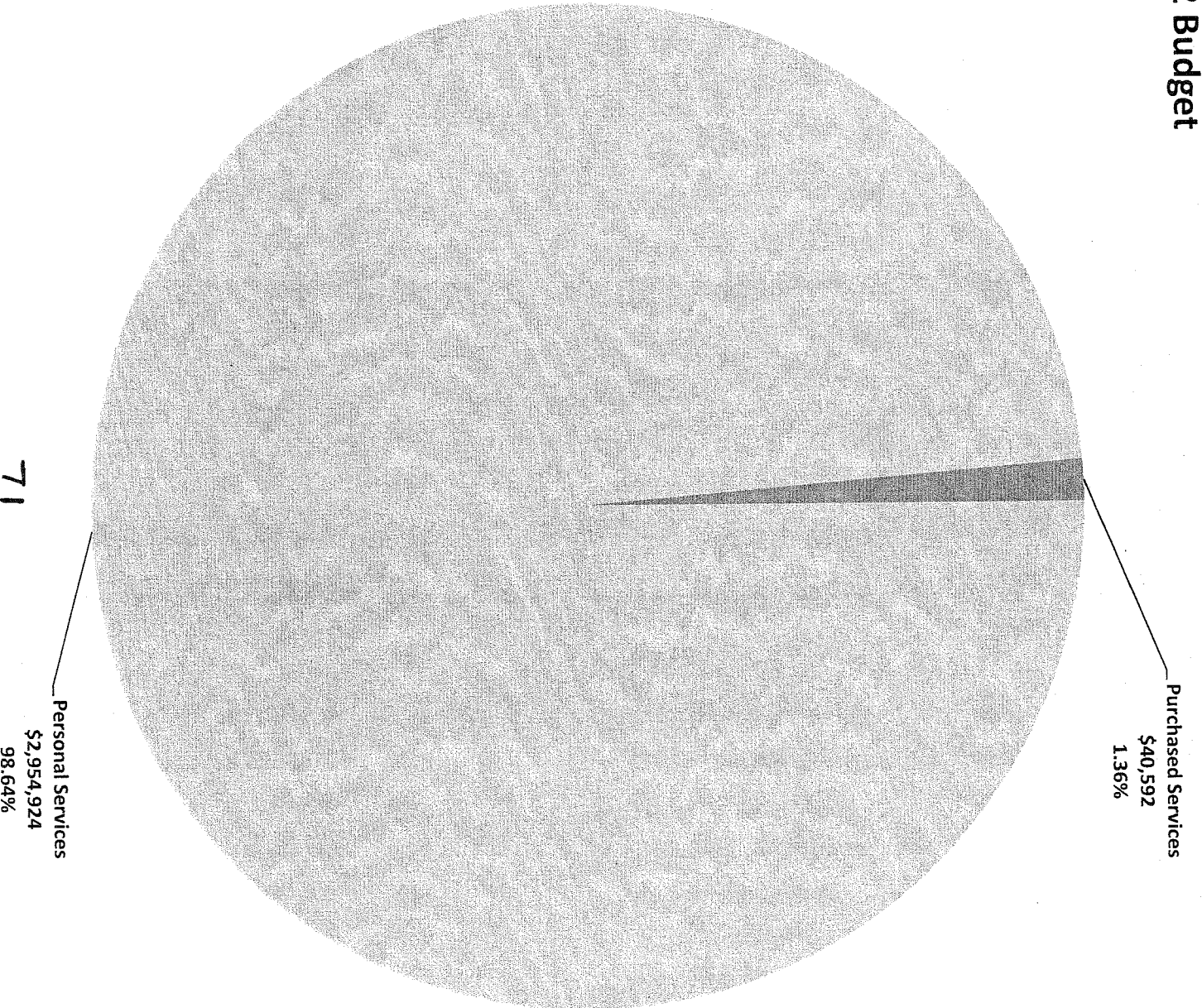
## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u>					
14160					
Total Requirements	\$2,926,824	\$3,023,046	\$3,023,046	\$3,023,046	\$3,023,046
Receipts	\$46,420	\$ 245,502	\$ 245,502	\$ 245,502	\$ 245,502
General fund Appropriation	\$2,888,584	\$2,777,544	\$2,777,544	\$2,777,544	\$2,777,544
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)
Adjusted General Fund Appropriation	\$2,888,584	\$2,777,544	\$2,777,544	\$2,777,544	\$2,777,544

## Expenditures by Category Total Requirements:

Personal Services (531xxx) - \$ 2,954,924  
Purchases service (532xxx) - \$ 68,122

# OSC SAD FY 2011-12 Budget



BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010	2010-2011	INCR/DECR (4)	2011-2012	INCR/DECR (6)	2012-2013
		ACTUAL (2)	AUTHORIZED (3)		TOTAL (5)		TOTAL (7)
REQUIREMENTS							
STATEWIDE ACCOUNTING							
1000 3000							
53 1211	SPA-REG SALARIES-APPRO	\$2,212,026	\$2,145,591	\$0	\$2,145,591	\$0	\$2,145,591
53 1212	SPA-REG SALARIES-RECEIPT	\$74,525	\$155,085	\$0	\$155,085	\$0	\$155,085
53 1421	HOLIDAY PAY - APPROP.	\$160	\$0	\$0	\$0	\$0	\$0
53 1461	EPASPA-LONGVTY PAY-APPR	\$66,688	\$63,542	\$0	\$63,542	\$0	\$63,542
53 1462	EPASPA-LONGVTY PAY-Rec	\$0	\$3,074	\$0	\$3,074	\$0	\$3,074
53 1511	SOCIAL SEC CONTRIB-APPRO	\$167,416	\$169,000	\$0	\$169,000	\$0	\$169,000
53 1512	SOCIAL SECURITY CONTRB-R	\$5,655	\$12,099	\$0	\$12,099	\$0	\$12,099
53 1521	REG RETIRE CONTRIB-APPRO	\$199,350	\$232,181	\$0	\$232,181	\$0	\$232,181
53 1522	REG RETIRE CONTRIB-RECEI	\$6,790	\$16,623	\$0	\$16,623	\$0	\$16,623
53 1561	MED INS CONTRIB-APPRO	\$137,780	\$146,638	\$0	\$146,638	\$0	\$146,638
53 1562	MED INS CONTRIB-RECEIPTS	\$8,380	\$11,091	\$0	\$11,091	\$0	\$11,091
53 1576	FLEXIBLE SPENDING SAVING	\$660	\$0	\$0	\$0	\$0	\$0
TOTAL PERSON AL SERVICES		\$2,879,430	\$2,954,924	\$0	\$2,954,924		\$2,954,924
53 2120	FINANCIAL/AUDIT SERVICES	\$1,320	\$1,500	\$0	\$1,500	\$0	\$1,500
53 2714	TRANSP-GRND - IN STATE	\$19	\$900	\$0	\$900	\$0	\$900
53 2715	TRANS GRND-OUT STA, IN US	\$0	\$150	\$0	\$150	\$0	\$150
53 2721	LODGING - IN STATE	\$0	\$582	\$0	\$582	\$0	\$582
53 2724	MEALS - IN STATE	\$0	\$200	\$0	\$200	\$0	\$200
53 2821	COMPUTER/DATA PROCESS SV	\$15,055	\$16,935	\$0	\$16,935	\$0	\$16,935
53 2850	PRINT,BIND,DUPLICATE	\$2,581	\$8,400	\$0	\$8,400	\$0	\$8,400

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
53 2930	REGISTRATION FEES	\$0	\$3,000	\$0	\$3,000	\$0	\$3,000
53 2941	EMP EDUCATION ASSIST PRO	\$4,840	\$700	\$0	\$700	\$0	\$700
53 2942	OTHER EMP EDUCATIONAL EX	\$0	\$8,225	\$0	\$8,225	\$0	\$8,225
	TOTAL PURCHASED SERVICES	\$23,815	\$40,592	\$0	\$40,592	\$0	\$40,592
53 4713	PC SOFTWARE PURCHASES	\$375	\$0	\$0	\$0	\$0	\$0
	TOTAL PROPERTY, PLANT & EQUIPMENT	\$375	\$0	\$0	\$0	\$0	\$0
53 5830	MEMBERSHIP DUES & SUBSCRIPTIONS	\$4,964	\$0	\$0	\$0	\$0	\$0
	TOTAL OTHER EXPENSES & ADJUSTMENTS	\$4,964	\$0	\$0	\$0	\$0	\$0
	TOTAL REQUIREMENTS	\$2,908,584	\$2,995,516	\$0	\$2,995,516	\$0	\$2,995,516
ESTIMATED RECEIPTS							
43 8114	TRF IN-OSC -24160	\$20,000	\$217,972	\$0	\$217,972	\$0	\$217,972
	TOTAL RECEIPTS	\$20,000	\$217,972	\$0	\$217,972	\$0	\$217,972
	NET APPROPRIATION	\$2,888,584	\$2,777,544	\$0	\$2,777,544	\$0	\$2,777,544

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
STATEWIDE ACCOUNTING TRAINING & CPE SEMINARS							
1040							
53 2181900	FOOD SERVICE	\$8,616	\$12,300	\$0	\$12,300	\$0	\$12,300
53 2199900	MISC CONTRACTUAL SERVICE	\$4,500	\$5,000	\$0	\$5,000	\$0	\$5,000
53 2513900	RENT/LEASE-OTH FACILITIE	\$4,725	\$4,000	\$0	\$4,000	\$0	\$4,000
53 2524900	RENT/LEASE-GEN OFF EQUIP	\$150	\$1,000	\$0	\$1,000	\$0	\$1,000
53 2731	BD/NON-EMPLOYEE TRANSP	\$0	\$100	\$0	\$100	\$0	\$100
53 2732	BD/NON-EMPLOYEE SUBSIS	\$0	\$100	\$0	\$100	\$0	\$100
53 2813	TELECONFERENCE CHARGES	\$0	\$1,530	\$0	\$1,530	\$0	\$1,530
53 2850900	PRINT,BIND,DUPLICATE	\$0	\$3,500	\$0	\$3,500	\$0	\$3,500
53 2930	REGISTRATION FEES	\$249	\$0	\$0	\$0	\$0	\$0
TOTAL PURCHASED SERVICES		\$18,240	\$27,530	\$0	\$27,530	\$0	\$27,530
TOTAL REQUIREMENTS		\$18,240	\$27,530	\$0	\$27,530	\$0	\$27,530
ESTIMATED RECEIPTS							
43 5600	REGISTRATION FEES	\$26,420	\$27,530	\$0	\$27,530	\$0	\$27,530
TOTAL RECEIPTS		\$26,420	\$27,530	\$0	\$27,530	\$0	\$27,530
NET APPROPRIATION		-\$8,180	\$0	\$0	\$0	\$0	\$0

# Summary by Purpose 24171 OSC-CENTRAL ACCT-SPECIAL FUND

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
REQUIREMENTS								
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TOTAL REQUIREMENTS		0	0	0	0	0	0	0
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ESTIMATED RECEIPTS								
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2400 FEDERAL PCARD REBATES		0	76,392	76,392	0	76,392	0	76,392
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TOTAL RECEIPTS		0	76,392	76,392	0	76,392	0	76,392
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CHANGE IN FUND BALANCE		0	76,392	76,392	0	76,392	0	76,392

# 24172 OSC-Recovery Fund

## Summary by Purpose

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
REQUIREMENTS								
2200	PAYROLL RECOVERY	0	1,915	1,915	0	1,915	0	1,915
2701	Dept of Corrections	30,156	52,836	52,836	0	52,836	0	52,836
2702	DENR	7,645	8,000	8,000	0	8,000	0	8,000
2703	Dept of Administration	3,998	4,710	4,710	0	4,710	0	4,710
2704	UNC Hospital	13,954	19,149	19,149	0	19,149	0	19,149
2707	Div Social Services	2,871	5,033	5,033	0	5,033	0	5,033
2708	Div of Blind	2,530	2,531	2,531	0	2,531	0	2,531
2709	Vocational Rehab	950	951	951	0	951	0	951
2710	Div Mental Health	3,867	6,997	6,997	0	6,997	0	6,997
2711	Dept of Commerce	56	56	56	0	56	0	56
2713	Cherry Hospital	2,137	4,325	4,325	0	4,325	0	4,325
2714	John Umstead Hospital	6,776	7,966	7,966	0	7,966	0	7,966
2715	NC State	346	668	668	0	668	0	668
2716	UNC Asheville	640	1,122	1,122	0	1,122	0	1,122
2717	Crime Control & Public Sa	6,062	6,062	6,062	0	6,062	0	6,062
2718	DHHS-Central Admin	292	347	347	0	347	0	347
2719	Department of Justice	122	168	168	0	168	0	168
2720	Crime Control Public Saf	52	1,537	1,537	0	1,537	0	1,537
2721	Dept Agriculture	233	341	341	0	341	0	341
2722	Dept of Commerce	0	50	50	0	50	0	50
2723	Crime Control Public Saf	2,835	5,195	5,195	0	5,195	0	5,195
2724	ITS	1,737	1,738	1,738	0	1,738	0	1,738
2725	Dept Public Instruction	376	376	376	0	376	0	376
2726	DHHS-Health Services	3,026	3,654	3,654	0	3,654	0	3,654
2727	DHHS-Medical Assistance	4,271	5,806	5,806	0	5,806	0	5,806
2728	Admin Office of Courts	2,721	2,855	2,855	0	2,855	0	2,855
2729	Dept of Transportation	28,003	32,730	32,730	0	32,730	0	32,730
2730	Cultural Resources	1,203	1,203	1,203	0	1,203	0	1,203
2731	DJDP	1,167	1,752	1,752	0	1,752	0	1,752
2732	NCSU	28,445	44,247	44,247	0	44,247	0	44,247
2733	DOR	822	842	842	0	842	0	842
2734	SBI lab	1,337	1,504	1,504	0	1,504	0	1,504
2736	NC A&T University	6,776	10,381	10,381	0	10,381	0	10,381
2737	State Treasurer	62	142	142	0	142	0	142
2742	UNC-CHARLOTTE	8,971	10,554	10,554	0	10,554	0	10,554
2743	UNC CHAPEL HILL	4,115	8,717	8,717	0	8,717	0	8,717
2745	DHHS-DIV OF DEAF	81	82	82	0	82	0	82

# 24172 OSC-Recovery Fund

## Summary by Purpose

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
2746	WCU	3,099	6,566	6,566	0	6,566	0	6,566
2747	ECSU	384	404	404	0	404	0	404
2748	UNC-P	3,864	6,545	6,545	0	6,545	0	6,545
2749	WSSU	13,001	24,698	24,698	0	24,698	0	24,698
2750	SCHOOL OF THE ARTS	304	441	441	0	441	0	441
2751	NCCU	12,014	24,008	24,008	0	24,008	0	24,008
2752	FSU	9,365	14,703	14,703	0	14,703	0	14,703
2753	UNC-W	3,016	5,044	5,044	0	5,044	0	5,044
2754	UNC-G	1,647	3,489	3,489	0	3,489	0	3,489
2755	ECU	1,493	3,161	3,161	0	3,161	0	3,161
2900	ESCHEAT FUND RECOVERY	20	20	20	0	20	0	20
TOTAL REQUIREMENTS		226,842	345,621	345,621	0	345,621	0	345,621

### ESTIMATED RECEIPTS

2200	PAYROLL RECOVERY	-798	0	0	0	0	0	56,509
2701	Dept of Corrections	32,688	56,509	56,509	0	56,509	0	56,509
2702	DENR	8,338	8,556	8,556	0	8,556	0	8,556
2703	Dept of Administration	4,220	5,038	5,038	0	5,038	0	5,038
2704	UNC Hospital	15,138	20,658	20,658	0	20,658	0	20,658
2707	Div Social Services	3,251	6,453	6,453	0	6,453	0	6,453
2708	Div of Blind	3,294	3,295	3,295	0	3,295	0	3,295
2709	Vocational Rehab	1,400	1,400	1,400	0	1,400	0	1,400
2710	Div Mental Health	4,323	7,483	7,483	0	7,483	0	7,483
2713	Cherry Hospital	2,313	4,625	4,625	0	4,625	0	4,625
2714	John Umstead Hospital	6,283	8,519	8,519	0	8,519	0	8,519
2715	NC State	357	715	715	0	715	0	715
2716	UNC Asheville	600	1,199	1,199	0	1,199	0	1,199
2717	Crime Control & Public Sa	6,852	6,852	6,852	0	6,852	0	6,852
2718	DHHS-Central Admin	72	72	72	0	72	0	72
2719	DHHS-Central Admin	90	180	180	0	180	0	180
2721	Dept Agriculture	182	365	365	0	365	0	365
2723	Crime Control Public Saf	2,778	5,556	5,556	0	5,556	0	5,556
2726	DHHS-Health Services	3,479	3,908	3,908	0	3,908	0	3,908
2727	DHHS-Medical Assistance	4,234	6,210	6,210	0	6,210	0	6,210

# 24172 OSC-Recovery Fund

## Summary by Purpose

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
2728	Admin Office of Courts	1,819	3,054	3,054	0	3,054	0	3,054
2729	Dept of Transportation	28,593	35,006	35,006	0	35,006	0	35,006
2730	Cultural Resources	200	400	400	0	400	0	400
2731	DJJD	1,140	1,874	1,874	0	1,874	0	1,874
2732	NCSU	26,128	47,323	47,323	0	47,323	0	47,323
2733	DOR	923	924	924	0	924	0	924
2734	SBI lab	575	951	951	0	951	0	951
2736	NC A&T University	7,201	11,102	11,102	0	11,102	0	11,102
2737	State Treasurer	76	152	152	0	152	0	152
2742	UNC-CHARLOTTE	9,387	11,288	11,288	0	11,288	0	11,288
2743	UNC CHAPEL HILL	4,661	9,323	9,323	0	9,323	0	9,323
2746	WCU	3,512	7,023	7,023	0	7,023	0	7,023
2747	ECSU	216	433	433	0	433	0	433
2748	UNC-P	4,033	7,000	7,000	0	7,000	0	7,000
2749	WSSU	13,699	26,415	26,415	0	26,415	0	26,415
2750	SCHOOL OF THE ARTS	236	473	473	0	473	0	473
2751	NCCU	13,436	25,678	25,678	0	25,678	0	25,678
2752	FSU	7,862	15,725	15,725	0	15,725	0	15,725
2753	UNC-W	3,212	5,395	5,395	0	5,395	0	5,395
2754	UNC-G	1,866	3,732	3,732	0	3,732	0	3,732
2755	ECU	1,690	3,381	3,381	0	3,381	0	3,381
2900	ESCHEAT FUND RECOVERY	-68	0	0	0	0	0	0
TOTAL RECEIPTS		229,491	364,245	364,245	0	364,245	0	364,245
CHANGE IN FUND BALANCE		2,649	18,624	18,624	0	18,624	0	18,624

# 24172 OSC-Recovery Fund

## Summary by Account

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
REQUIREMENTS								
53 5800	OTHER ADMIN EXPENSE	20	20	20	0	20	0	20
53 5900	OTHER EXPENSES	89,085	134,472	134,472	0	134,472	0	134,472
TOTAL OTHER EXPENSES & ADJUSTMEN		89,105	134,492	134,492	0	134,492	0	134,492
53 8106	TRANSFER TO OSC 24160	137,737	211,129	211,129	0	211,129	0	211,129
TOTAL INTRAGOVERNMENTAL TRANSACT		137,737	211,129	211,129	0	211,129	0	211,129
TOTAL REQUIREMENTS		226,842	345,621	345,621	0	345,621	0	345,621
ESTIMATED RECEIPTS								
43 7121	PAYBACK	-68	0	0	0	0	0	0
43 7995	OTHER MISC REV-GENERAL	229,559	364,245	364,245	0	364,245	0	364,245
TOTAL RECEIPTS		229,491	364,245	364,245	0	364,245	0	364,245
CHANGE IN FUND BALANCE		2,649	18,624	18,624	0	18,624	0	18,624

# Summary by Purpose 74170 OSC-WORKERS' COMP COST CONT.

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
REQUIREMENTS								
7401	AOC	675,380	675,380	675,380	0	675,380	0	675,380
7402	ADMINISTRATION	207,558	207,561	207,561	0	207,561	0	207,561
7403	AGRICULTURE	267,967	267,967	267,967	0	267,967	0	267,967
7405	COMMERCE	218,625	218,670	218,670	0	218,670	0	218,670
7406	COMMUNITY COLLEGES	2,090,533	2,090,534	2,090,534	0	2,090,534	0	2,090,534
7407	CONTROLLER	15,316	15,403	15,403	0	15,403	0	15,403
7408	CORRECTIONS	19,673,659	19,879,499	19,879,499	0	19,879,499	0	19,879,499
7409	CRIME CONTROL & PUB SAFE	1,680,095	1,680,759	1,680,759	0	1,680,759	0	1,680,759
7410	CULTURAL RESOURCES	49,840	49,840	49,840	0	49,840	0	49,840
7411	DENR	1,490,357	1,592,903	1,592,903	0	1,592,903	0	1,592,903
7412	DHHS-AGING	331	470	470	0	470	0	470
7413	DHHS-BLIND	136,773	151,006	151,006	0	151,006	0	151,006
7414	DHHS-CHILD DEV.	83,051	83,380	83,380	0	83,380	0	83,380
7416	DHHS-FACILITY SERVICES	100,016	100,725	100,725	0	100,725	0	100,725
7417	DHHS-HEALTH SERVICES	502,579	502,962	502,962	0	502,962	0	502,962
7418	DHHS-MED. ASSISTANCE	18,385	18,765	18,765	0	18,765	0	18,765
7419	DHHS-MENTAL HEALTH	11,923,780	11,955,168	11,955,168	0	11,955,168	0	11,955,168
7420	DHHS-SECRETARY	136,574	138,041	138,041	0	138,041	0	138,041
7421	DHHS-SOC. SERV.	198,904	199,464	199,464	0	199,464	0	199,464
7422	DHHS-VOC REHAB.	138,016	138,775	138,775	0	138,775	0	138,775
7423	DHHS-YOUTH SERVICES	2,124,153	2,396,015	2,396,015	0	2,396,015	0	2,396,015
7424	EMP. SEC. ADMINISTRATION	531,509	532,551	532,551	0	532,551	0	532,551
7425	GENERAL ASSEMBLY	135,843	137,756	137,756	0	137,756	0	137,756
7426	GOVERNOR'S OFFICE	144	216	216	0	216	0	216
7427	HOUSING FINANCE	33,070	34,081	34,081	0	34,081	0	34,081
7428	INFORMATION TECH. SERV.	-138	140	140	0	140	0	140
7429	INSURANCE	50,740	50,826	50,826	0	50,826	0	50,826
7430	JUSTICE	287,521	288,241	288,241	0	288,241	0	288,241
7431	JUVENILE JUSTICE	1,492,257	1,492,601	1,492,601	0	1,492,601	0	1,492,601
7432	LABOR	85,106	85,448	85,448	0	85,448	0	85,448
7434	REVENUE	397,364	398,165	398,165	0	398,165	0	398,165
7436	INFORMATION TECH. SERV.	67,574	91,771	91,771	0	91,771	0	91,771
7437	ST. HEALTH BENEFITS OFFI	227	329	329	0	329	0	329
7439	STATE PERSONNEL	183	187	187	0	187	0	187
7440	STATE PORTS AUTHORITY	249,163	250,261	250,261	0	250,261	0	250,261
7441	TREASURER	19,913	19,946	19,946	0	19,946	0	19,946
7442	WILDLIFE	209,707	210,800	210,800	0	210,800	0	210,800

# Summary by Purpose 74170 OSC-WORKERS' COMP COST CONT.

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
7443	ASU	351,791	352,038	352,038	0	352,038	0	352,038
7444	A & T ST. UNIV.	321,224	322,031	322,031	0	322,031	0	322,031
7445	EAST CAROLINA UNIV.	665,811	667,447	667,447	0	667,447	0	667,447
7446	ELIZABETH CITY ST. UNIV.	108,518	109,124	109,124	0	109,124	0	109,124
7447	FAYETTEVILLE ST. UNIV.	338,021	373,223	373,223	0	373,223	0	373,223
7448	NC CENTRAL UNIV.	256,142	258,646	258,646	0	258,646	0	258,646
7449	NC SCHOOL OF MATH&SCIENC	38,115	38,336	38,336	0	38,336	0	38,336
7450	NC SCHOOL OF THE ARTS	88,694	89,654	89,654	0	89,654	0	89,654
7451	NCSU	1,691,643	1,716,133	1,716,133	0	1,716,133	0	1,716,133
7452	UNC ASHEVILLE	131,488	154,627	154,627	0	154,627	0	154,627
7453	UNC CHAPEL HILL	1,686,799	1,686,799	1,686,799	0	1,686,799	0	1,686,799
7454	UNC CHARLOTTE	734,865	736,002	736,002	0	736,002	0	736,002
7455	UNC GENERAL ADMINISTRATI	74,404	75,023	75,023	0	75,023	0	75,023
7456	UNC GREENSBORO	384,826	388,561	388,561	0	388,561	0	388,561
7458	UNC HOSPITALS	358,951	358,969	358,969	0	358,969	0	358,969
7459	UNC WILMINGTON	350,294	354,997	354,997	0	354,997	0	354,997
7460	WESTERN CAROLINA UNIV.	326,308	327,757	327,757	0	327,757	0	327,757
7461	WINSTON-SALEM STATE UNIV	163,181	164,819	164,819	0	164,819	0	164,819
7464	BD. OF COSMETIC ARTS	118	120	120	0	120	0	120
7467	DHHS-Early Interven Educ	372,998	373,672	373,672	0	373,672	0	373,672
7469	NC EDUCATION LOTTERY	43,281	43,809	43,809	0	43,809	0	43,809
TOTAL REQUIREMENTS		53,779,547	54,548,393	54,548,393	0	54,548,393	0	54,548,393
-----								
ESTIMATED RECEIPTS								
7401	AOC	604,387	624,418	624,418	0	624,418	0	624,418
7402	ADMINISTRATION	225,716	234,500	234,500	0	234,500	0	234,500
7403	AGRICULTURE	285,326	285,544	285,544	0	285,544	0	285,544
7405	COMMERCE	204,397	204,397	204,397	0	204,397	0	204,397
7406	COMMUNITY COLLEGES	2,131,701	2,131,701	2,131,701	0	2,131,701	0	2,131,701
7407	CONTROLLER	14,204	14,204	14,204	0	14,204	0	14,204
7408	CORRECTIONS	20,210,511	20,210,512	20,210,512	0	20,210,512	0	20,210,512
7409	CRIME CONTROL & PUB SAFE	1,697,590	1,729,456	1,729,456	0	1,729,456	0	1,729,456
7410	CULTURAL RESOURCES	57,879	71,366	71,366	0	71,366	0	71,366
7411	DENR	1,535,885	1,656,823	1,656,823	0	1,656,823	0	1,656,823

# Summary by Purpose 74170 OSC-WORKERS' COMP COST CONT.

CODE	DESCRIPTION	2009-2010	2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
		ACTUAL	CERTIFIED	AUTHORIZED	INCR/DECR	TOTAL	INCR/DECR	TOTAL
7412	DHHS-AGING	331	470	470	0	470	0	470
7413	DHHS-BLIND	142,952	142,952	142,952	0	142,952	0	142,952
7414	DHHS-CHIL'D DEV.	75,455	75,456	75,456	0	75,456	0	75,456
7416	DHHS-FACILITY SERVICES	110,972	110,972	110,972	0	110,972	0	110,972
7417	DHHS-HEALTH SERVICES	515,812	515,813	515,813	0	515,813	0	515,813
7418	DHHS-MED. ASSISTANCE	17,025	17,025	17,025	0	17,025	0	17,025
7419	DHHS-MENTAL HEALTH	12,363,839	12,363,839	12,363,839	0	12,363,839	0	12,363,839
7420	DHHS-SECRETARY	132,502	132,503	132,503	0	132,503	0	132,503
7421	DHHS-SOC. SERV.	201,939	201,939	201,939	0	201,939	0	201,939
7422	DHHS-VOC REHAB.	255,249	338,271	338,271	0	338,271	0	338,271
7424	EMP. SEC. ADMINISTRATION	562,277	562,278	562,278	0	562,278	0	562,278
7425	GENERAL ASSEMBLY	133,437	133,438	133,438	0	133,438	0	133,438
7426	GOVERNOR'S OFFICE	314	458	458	0	458	0	458
7427	HOUSING FINANCE	44,589	45,022	45,022	0	45,022	0	45,022
7428	INFORMATION TECH. SERV.	1,066	1,562	1,562	0	1,562	0	1,562
7429	INSURANCE	68,662	80,632	80,632	0	80,632	0	80,632
7430	JUSTICE	323,591	323,591	323,591	0	323,591	0	323,591
7431	JUVENILE JUSTICE	1,368,450	1,368,451	1,368,451	0	1,368,451	0	1,368,451
7432	LABOR	93,972	94,879	94,879	0	94,879	0	94,879
7434	REVENUE	402,986	402,987	402,987	0	402,987	0	402,987
7436	INFORMATION TECH. SERV.	63,819	91,975	91,975	0	91,975	0	91,975
7437	ST. HEALTH BENEFITS OFFI	227	230	230	0	230	0	230
7439	STATE PERSONNEL	175	263	263	0	263	0	263
7440	STATE PORTS AUTHORITY	272,716	272,716	272,716	0	272,716	0	272,716
7441	TREASURER	20,856	20,856	20,856	0	20,856	0	20,856
7442	WILDLIFE	237,242	237,242	237,242	0	237,242	0	237,242
7443	ASU	355,641	355,641	355,641	0	355,641	0	355,641
7444	A & T ST. UNIV.	309,040	309,040	309,040	0	309,040	0	309,040
7445	EAST CAROLINA UNIV.	749,411	750,413	750,413	0	750,413	0	750,413
7446	ELIZABETH CITY ST. UNIV.	104,405	105,262	105,262	0	105,262	0	105,262
7447	FAYETTEVILLE ST. UNIV.	340,091	340,806	340,806	0	340,806	0	340,806
7448	NC CENTRAL UNIV.	226,392	227,353	227,353	0	227,353	0	227,353
7449	NC SCHOOL OF MATH&SCIENC	31,017	31,312	31,312	0	31,312	0	31,312
7450	NC SCHOOL OF THE ARTS	90,322	90,366	90,366	0	90,366	0	90,366
7451	NCSU	1,599,040	1,599,330	1,599,330	0	1,599,330	0	1,599,330
7452	UNC ASHEVILLE	140,179	170,280	170,280	0	170,280	0	170,280
7453	UNC CHAPEL HILL	1,745,532	1,762,594	1,762,594	0	1,762,594	0	1,762,594

# Summary by Purpose 74170 OSC-WORKERS' COMP COST CONT.

CODE	DESCRIPTION	2009-2010	2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
		ACTUAL	CERTIFIED	AUTHORIZED	INCR/DECR	TOTAL	INCR/DECR	TOTAL
7454	UNC CHARLOTTE	917,556	918,914	918,914	0	918,914	0	918,914
7455	UNC GENERAL ADMINISTRATI	59,456	61,529	61,529	0	61,529	0	61,529
7456	UNC GREENSBORO	376,145	377,134	377,134	0	377,134	0	377,134
7457	UNC HOSPITALS	12,388	18,582	18,582	0	18,582	0	18,582
7458	UNC HOSPITALS	370,178	370,454	370,454	0	370,454	0	370,454
7459	UNC WILMINGTON	346,084	362,822	362,822	0	362,822	0	362,822
7460	WESTERN CAROLINA UNIV.	316,885	317,072	317,072	0	317,072	0	317,072
7461	WINSTON-SALEM STATE UNIV.	169,272	170,294	170,294	0	170,294	0	170,294
7464	BD. OF COSMETIC ARTS	915	1,000	1,000	0	1,000	0	1,000
7467	DHHS-Early Interven Educ	653,589	801,130	801,130	0	801,130	0	801,130
7469	NC EDUCATION LOTTERY	43,484	43,928	43,928	0	43,928	0	43,928
TOTAL RECEIPTS		53,335,072	53,883,997	53,883,997	0	53,883,997	0	53,883,997
CHANGE IN FUND BALANCE		-444,475	-664,396	-664,396	0	-664,396	0	-664,396

# **Summary by Purpose** **64190 OSC - Proceeds Public Improvement Bond 2002A**

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
REQUIREMENTS								
6901	OSC Clean Water 2002A	2,160,543	25,713,167	25,713,167	0	25,713,167	0	25,713,167
TOTAL REQUIREMENTS		2,160,543	25,713,167	25,713,167	0	25,713,167	0	25,713,167

## ESTIMATED RECEIPTS

TOTAL RECEIPTS	0	0	0	0	0	0	0	0
CHANGE IN FUND BALANCE	-2,160,543	-25,713,167	-25,713,167	0	-25,713,167	0	-25,713,167	

# Summary by Purpose

## 64220 OSC-Proceeds 2007A GO Public Imp

CODE	DESCRIPTION	2009-2010 ACTUAL	2010-2011 CERTIFIED	2010-2011 AUTHORIZED	2011-2012 INCR/DECR	2011-2012 TOTAL	2012-2013 INCR/DECR	2012-2013 TOTAL
REQUIREMENTS								
6922	PROCEEDS 2007A GO BONDS	0	113,694,151	113,694,151	0	113,694,151	0	113,694,151
TOTAL REQUIREMENTS		0	113,694,151	113,694,151	0	113,694,151	0	113,694,151
ESTIMATED RECEIPTS								
TOTAL RECEIPTS								
CHANGE IN FUND BALANCE		0	-113,694,151	-113,694,151	0	-113,694,151	0	-113,694,151

## Information Technology

- Statutory Authority:

Section helps statewide accounting to accomplish their statutory duties:

§ 426.39; § 143-3.1; §143C-1-3; §143C-4-2; §143C-4-3; §143C-6-3; §143C-9-3(b & c);  
§143B-426.39(7); §143B-426.40H; §147-86.10 – 11; §147-86.20 – 27; §163-278.99

- Organization Chart

See Pages 21-23

- Major Responsibilities

The Information Technology Division designs, develops, implements and maintains enterprise information technology systems and applications used by personnel in all state agencies to conduct activities essential for the fiscal operations of state government including accounting, financial reporting and internal control functions. OSC enterprise applications supported by the Division include the North Carolina Accounting System (NCAS), Cash Management Control System (CMCS), NCAS Decision Support System (DSS), NCAS Laser Checks Payment System, Central Payroll, Statewide Foreign Nationals Compliance, Enhancing Accountability in Government through Leadership and Education (EAGLE), and public web presence.

- North Carolina Accounting System (NCAS) - Enterprise resource planning (ERP) software system that serves as the primary financial accounting and budgetary control for most state agencies and statewide financial reporting and information access for all agencies (support for 5,689 clients).
- NCAS Decision Support System (DSS) Data Warehouse - Web based statewide financial data warehouse that provides daily updates of financial transactions (support for 1,000 clients).
- Cash Management Control System (CMCS) - Provides processes for daily cash requisitions, expenditures, receipts, transfers, appropriations and allotments (support for 1,529 clients).
- NCAS Laser Checks Payment System - Secure check printing operations for NCAS disbursements in 29 locations statewide as well as Central Payroll (support for 64 clients).
- Statewide Foreign Nationals Compliance Program - Enterprise solution for State entities to monitor the residency status of aliens and comply with the complex tax rules for payments made to aliens (support for 500 clients).
- Enhancing Accountability in Government through Leadership and Education (EAGLE) - Web based collaboration tool to enable communication and exchange of data and reports between OSC and agency personnel (support for 361 clients).

The Information Technology Division designs, develops, implements and maintains critical information technology systems and applications used internally by agency personnel to conduct daily activities.

The Information Technology Division manages the support of the information technology infrastructure for all computing needs of the agency. Infrastructure components include

## NORTH CAROLINA OFFICE OF THE STATE CONTROLLER

hardware, software, networks, servers, desktops, peripherals and related security for the OSC campus and a separately managed disaster recovery site.

The Information Technology Division conducts business continuity planning and disaster recovery testing for all supported systems and applications.

The Information Technology Division leads the development of the OSC Biennial Information Technology Plan and manages OSC data and reports regarding information technology expenditure and system and application information. The Division reviews and approves all information technology initiatives by state agencies as required by Senate Bill 991 (Session Law 2004-129).

The Information Technology Division manages the support of the information technology infrastructure for all computing needs of the agency. Infrastructure components include hardware, software, networks, servers, desktops, peripherals and related security for the OSC campus and a separately managed disaster recovery site.

The Information Technology Division conducts business continuity planning and disaster recovery testing for all supported systems and applications.

The Information Technology Division leads the development of the OSC Biennial Information Technology Plan and manages OSC data and reports regarding information technology expenditure and system and application information. The Division reviews and approves all information technology initiatives by state agencies as required by Senate Bill 991 (Session Law 2004-129).

- Position Summary:  
22 Appropriated FTE
- Vacancy List from BEACON :  
No Vacancy in this Division
- Retirement Eligibility:  
Position count for employees **within three years** of retirement  
None

Position count for employees **with thirty years** of service  
1 Position --- # 60087126

## Office of State Controller – Information Technology

## CONTINUATION BUDGET

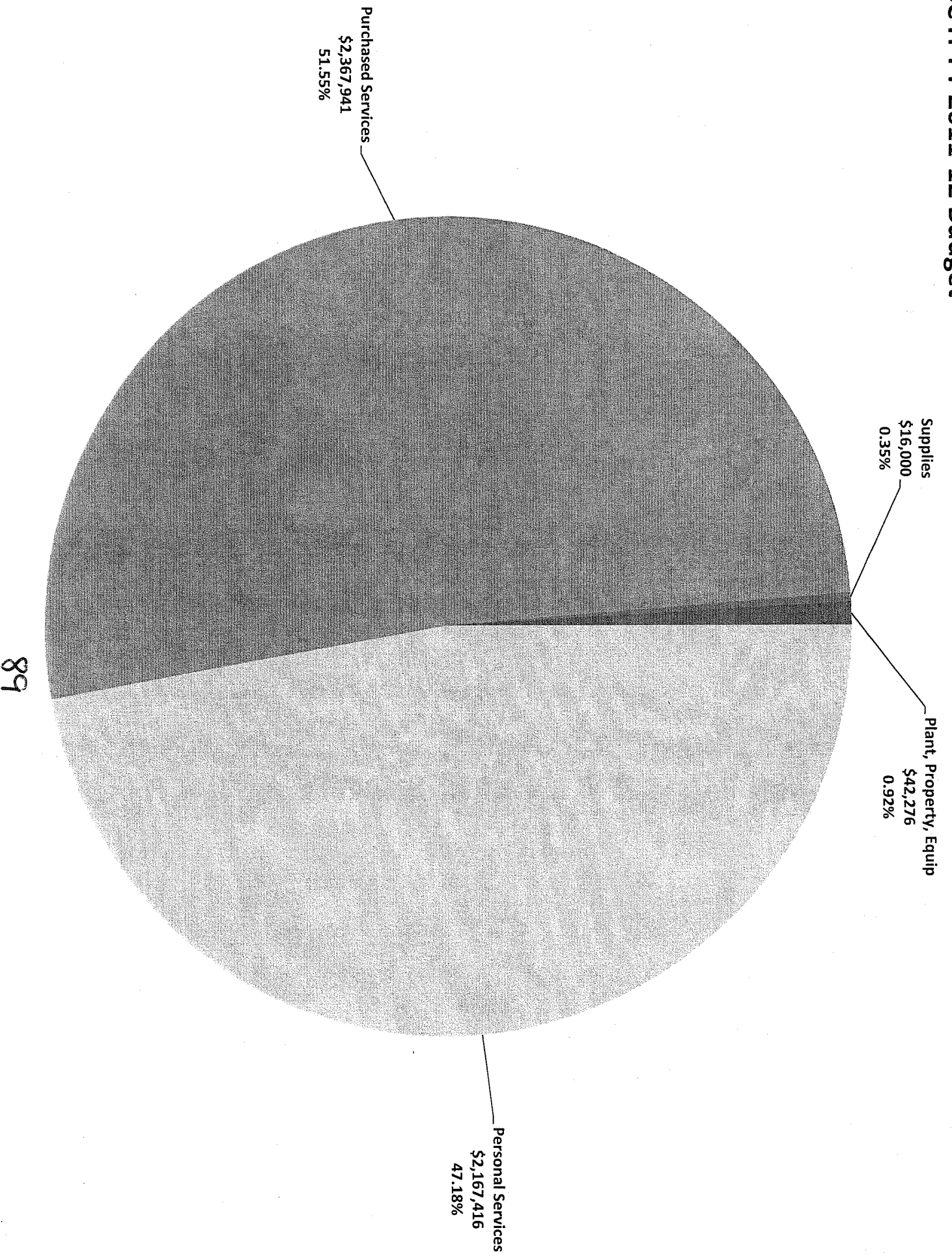
## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u>					
14160					
Total Requirements	\$4,265,083	\$4,593,633	\$4,593,633	\$4,593,633	\$4,593,633
Receipts	\$ 0	\$1,289,059	\$ 1,289,059	\$ 164,382	\$ 164,382
General fund Appropriation	\$4,265,083	\$3,304,574	\$3,304,574	\$4,429,251	\$4,429,251
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)
Adjusted General Fund Appropriation	\$4,265,083	\$3,304,574	\$3,304,574	\$4,429,251	\$4,429,251

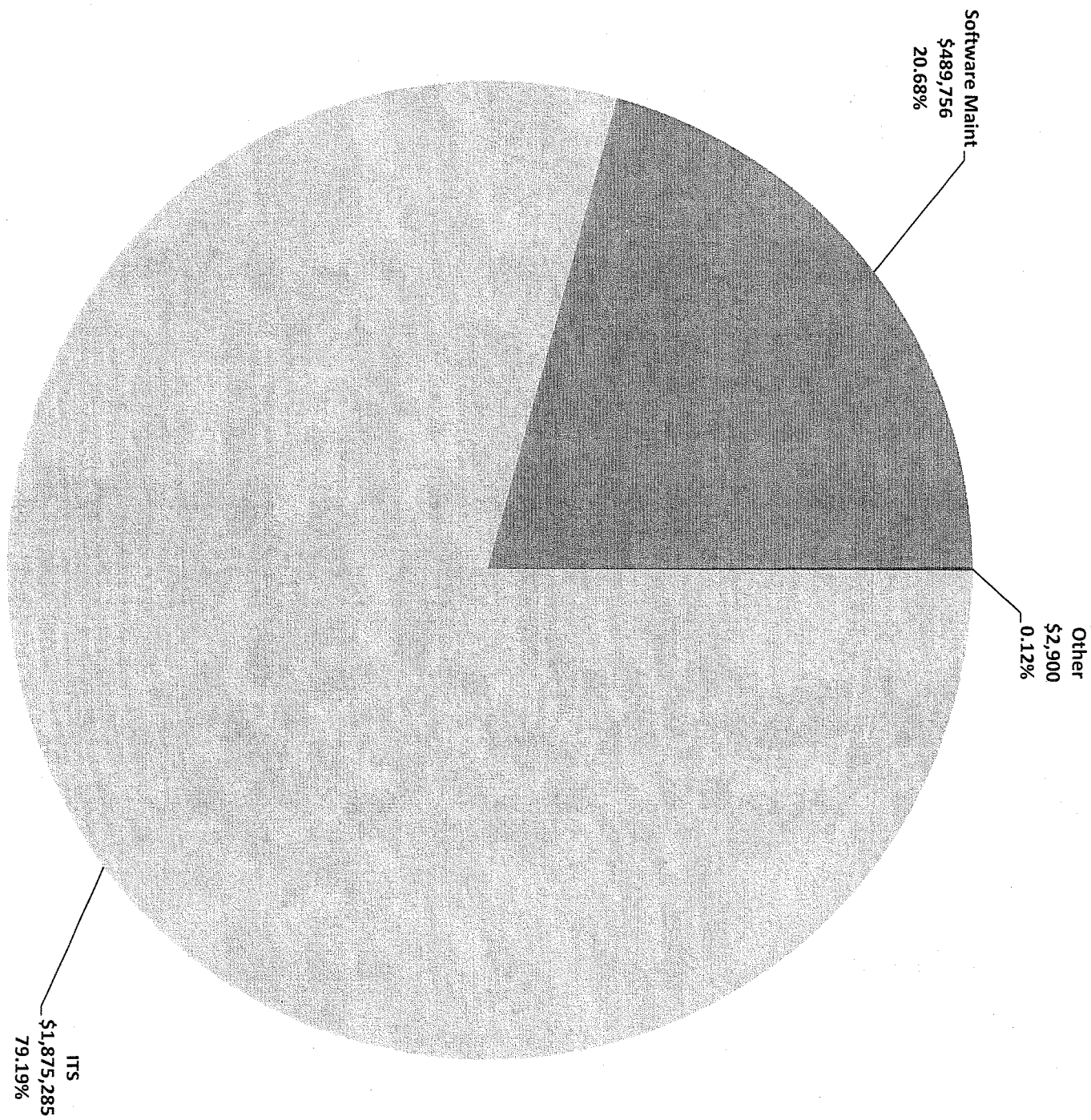
## Expenditures by Category – Total Requirements:

Personal Services (531xxx)	- \$ 2,167,416
Purchases service (532xxx)	- \$ 2,367,941
Supplies (533xxx)	- \$ 16,000
Property, Plant and Equipment	- \$ 42,276

# OSC IT FY 2011-12 Budget



# OSC IT Purchased Services FY 2011-12 Budget



Total Purchased Services Budget = \$2,367,941  
Other Includes Postage, Repairs, Travel, Insurance, Non-Software Maint, etc

**BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I**

ACCOUNT	DESCRIPTION (1)	2009-2010	2010-2011	INCR/DECR (4)	2011-2012	INCR/DECR (6)	2012-2013
		ACTUAL (2)	AUTHORIZED (3)		TOTAL (5)		TOTAL (7)
REQUIREMENTS							
INFORMATION TECHNOLOGY DIVISION							
53 1211	SPA-REG SALARIES-APPRO	\$1,670,288	\$1,567,468	\$0	\$1,567,468	\$0	\$1,567,468
53 1212	SPA-REG SALARIES-RECEIPT	\$0	\$126,967	\$0	\$126,967	\$0	\$126,967
53 1421	HOLIDAY PAY - APPROP.	-\$68	\$322	\$0	\$322	\$0	\$322
53 1431002	SHIFT 10% PREM PAY-APPRO	\$4,668	\$7,864	\$0	\$7,864	\$0	\$7,864
53 1461	EPAS&SPA-LONGVTY PAY-APPR	\$28,573	\$31,569	\$0	\$31,569	\$0	\$31,569
53 1511	SOCIAL SEC CONTRIB-APPRO	\$124,477	\$122,953	\$0	\$122,953	\$0	\$122,953
53 1512	SOCIAL SECURITY CONTRB-R	\$0	\$9,713	\$0	\$9,713	\$0	\$9,713
53 1521	REG RETIRE CONTRIB-APPRO	\$148,839	\$168,920	\$0	\$168,920	\$0	\$168,920
53 1522	REG RETIRE CONTRIB-RECEI	\$0	\$13,344	\$0	\$13,344	\$0	\$13,344
53 1561	MED INS CONTRIB-APPRO	\$102,196	\$108,438	\$0	\$108,438	\$0	\$108,438
53 1562	MED INS CONTRIB-RECEIPTS	\$0	\$9,858	\$0	\$9,858	\$0	\$9,858
53 1572	UNEMP COMP PAY TO ESC	\$473	\$0	\$0	\$0	\$0	\$0
53 1576	FLEXIBLE SPENDING SAVING	\$3,393	\$0	\$0	\$0	\$0	\$0
53 1627	ST DISABILITY PMT-APPROP	\$931	\$0	\$0	\$0	\$0	\$0
53 1631	WRKER COMP-MED PAYMENTS	\$123	\$0	\$0	\$0	\$0	\$0
53 1639	OTHER WORKERS COMP COSTS	\$170	\$0	\$0	\$0	\$0	\$0
TOTAL PERSON AL SERVICES		\$2,084,063	\$2,167,416	\$0	\$2,167,416	\$0	\$2,167,416
53 2721	LODGING - IN STATE	\$0	\$500	\$0	\$500	\$0	\$500
53 2725	MEALS-OUT OF STATE,IN US	\$0	\$1,000	\$0	\$1,000	\$0	\$1,000
53 2140	INFORMATN TECHNOLOGY SVC	\$0	\$516	\$0	\$516	\$0	\$516

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
53 2143	LAN SUPPORT SERVICES	\$0	\$19,206	\$0	\$19,206	\$0	\$19,206
53 2144	PERSONAL COMPUTER SUPPOR	\$282,487	\$282,569	\$0	\$282,569	\$0	\$282,569
53 2145	SERVER SUPPORT SERVICES	\$104,015	\$105,000	\$0	\$105,000	\$0	\$105,000
53 2446	MAINT AGREE-LAN EQUIP	\$0	\$12,811	\$0	\$12,811	\$0	\$12,811
53 2449	MAINT AGREE-SERVER SOFTW	\$9,287	\$13,544	\$0	\$13,544	\$0	\$13,544
53 2450	MAINT AGREE-SERVERS	\$0	\$5,200	\$0	\$5,200	\$0	\$5,200
53 2452	MAINT AGREE-MAINFRM SOFT	\$206,260	\$217,116	\$0	\$217,116	\$0	\$217,116
53 2448	MAINT AGREE-PC SOFTWARE	\$42,033	\$112,588	\$0	\$112,588	\$0	\$112,588
53 2449	MAINT AGREE-SERVER SOFTW	\$51,207	\$128,497	\$0	\$128,497	\$0	\$128,497
53 2821	COMPUTER/DATA PROCESS SV	\$1,234,345	\$1,236,076	\$0	\$1,236,076	\$0	\$1,236,076
53 2815	EMAIL AND CALENDARING	\$19,034	\$24,750	\$0	\$24,750	\$0	\$24,750
53 2821	COMPUTER/DATA PROCESS SV	\$21,452	\$21,680	\$0	\$21,680	\$0	\$21,680
53 2822	MANAGED LAN SERVICE CHAR	\$183,480	\$185,488	\$0	\$185,488	\$0	\$185,488
53 2930	REGISTRATION FEES	\$85	\$500	\$0	\$500	\$0	\$500
53 2941	EMP EDUCATION ASSIST PRO	\$3,746	\$500	\$0	\$500	\$0	\$500
53 2942	OTHER EMP EDUCATIONAL EX	\$0	\$400	\$0	\$400	\$0	\$400
TOTAL PURCHASED SERVICES		\$2,157,431	\$2,367,941	\$0	\$2,367,941	\$0	\$2,367,941
53 3120	DATA PROCESSING SUPPLIES	\$8,670	\$16,000	\$0	\$16,000	\$0	\$16,000
TOTAL SUPPLIES		\$8,670	\$16,000	\$0	\$16,000	\$0	\$16,000
53 4530	OTHER DP EQUIPMENT	\$2,747	\$3,000	\$0	\$3,000	\$0	\$3,000

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
53 4533	LAN EQUIPMENT PURCHASES	\$0	\$15,000	\$0	\$15,000	\$0	\$15,000
53 4534	PERSONAL COMP & PRINTERS	\$1,590	\$4,500	\$0	\$4,500	\$0	\$4,500
53 4535	SERVER PURCHASE	\$0	\$11,000	\$0	\$11,000	\$0	\$11,000
53 4713	PC SOFTWARE PURCHASES	\$0	\$5,877	\$0	\$5,877	\$0	\$5,877
53 4714	SERVER SOFTWARE PURCHASE	\$10,582	\$2,899	\$0	\$2,899	\$0	\$2,899
TOTAL PROPER TY, PLANT & EQUIPMT		\$14,919	\$42,276	\$0	\$42,276	\$0	\$42,276
TOTAL REQUIR EMENTS		4,265,083	4,593,633	0	4,593,633	0	4,593,633
ESTIMATED RECEIPTS							
43 8114	TRF IN-OSC -24160	\$0	\$1,289,059	-\$1,124,677	\$164,382	-\$1,124,677	\$164,382
TOTAL RECEIPTS		\$0	\$1,289,059	-\$1,124,677	\$164,382	-\$1,124,677	\$164,382
NET APPROPRIATION		\$4,265,083	\$3,304,574	\$1,124,677	\$4,429,251	\$1,124,677	\$4,429,251

## Human Resources / Payroll

- Statutory Authority:  
§ 426.39(6)

- Organization Chart:  
See Pages 26 - 38

- Major Responsibilities

Building Enterprise Access for North Carolina's Core Operation Needs (BEACON), North Carolina's Enterprise Resource Planning (ERP) initiative is a statewide collaborative effort enhancing the way the State manages business by modernizing and standardizing information technology business processes. In 2008, after five years of planning and development, the State successfully upgraded its antiquated business systems framework by replacing the human resource and payroll system with SAP's industry recognized state-of-the-art ERP software solution. Significantly under budget and delivered on time, BEACON pays more than 95,000 State employees each month while applying and enforcing consistent and standardized state-wide human resources and payroll policies for all State agencies.

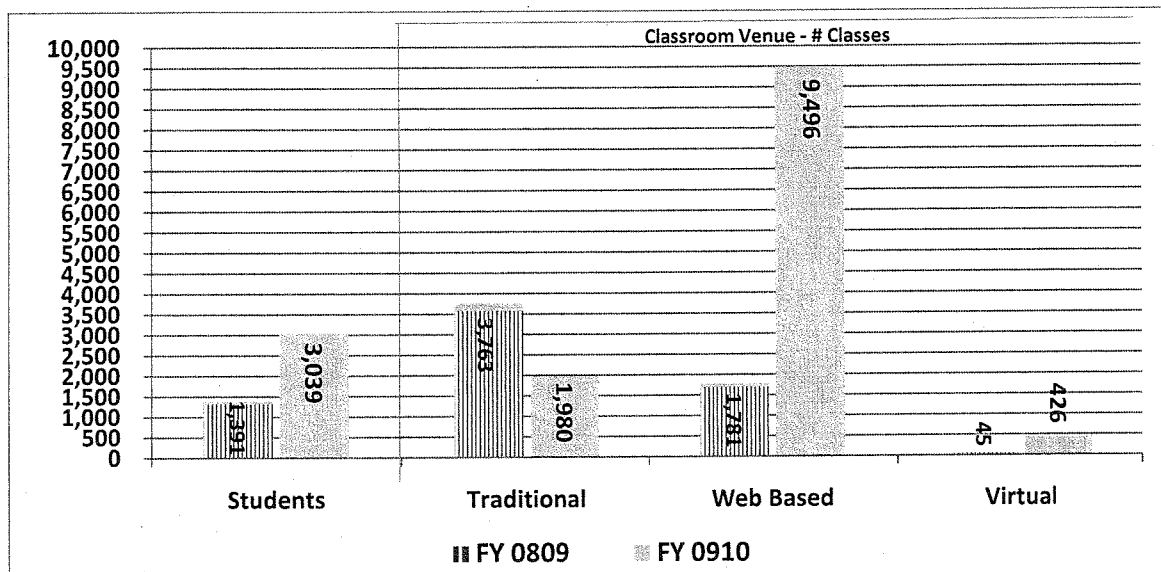
The BEACON framework ties copious agency information and data together in a single network that successfully eliminates multiple labor and paper intensive antiquated payroll systems. BEACON modernizes and standardizes key business processes in human resources, payroll and benefits, as well as provides a secure, reliable and fully integrated tool and framework to consistently support state agencies in daily operations, business decision making processes, detailed reporting and future planning needs. Accessible via the web through Employee Self Service, state employees have access to their personal and benefits information. Additionally, the BEACON team is responsible for providing:

- Project management oversight, by maintaining appropriate staffing levels, managing vendors and working with state agencies for all projects that fall under the BEACON ERP HR/Payroll program
  - Ongoing SAP system maintenance, support, upgrades, enhancements, and compliance
  - HR, benefits, and payroll administration services based on OSP standard processes and policies
  - Appropriate SAP training classes for agency employees
  - Shared Services Call Center support/help desk staff
- Position Summary:  
106.32 Appropriated FTE and 18.68 Receipt FTE (Total 125 FTE)
  - Vacancy List from BEACON :  
12 Vacancies (11.0319 appropriated and .9681 Receipt)
  - Retirement Eligibility:  
Position count for employees **within three years** of retirement  
1 Position --- # 60091144

Position count for employees **with thirty years** of service  
1 Position -- # 60087179

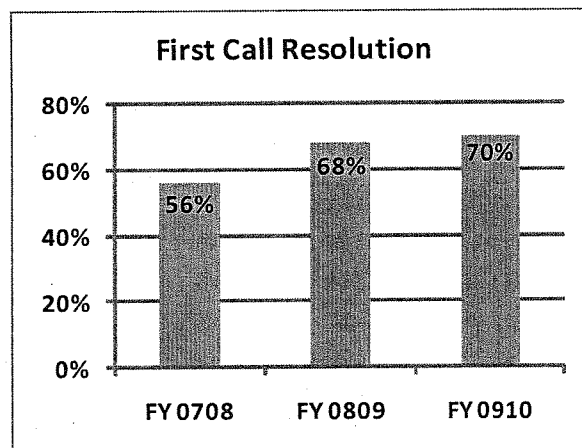
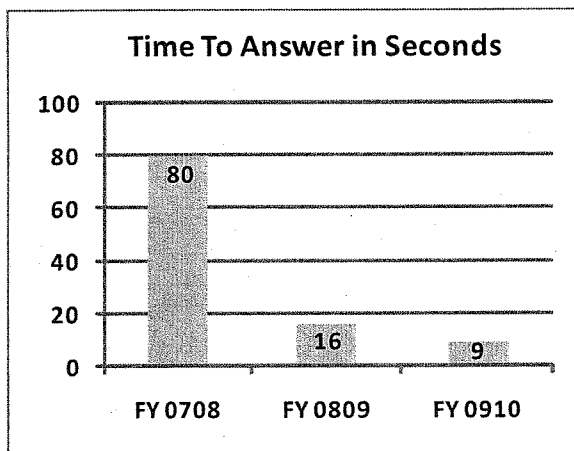
# OSC HUMAN RESOURCES/PAYROLL ERP SYSTEM STATISTICS

## TRAINING



- Training team currently staffed with five time limited full time positions that expire 06/30/11
- Agency training needs continue
- Time limited positions critical to prolonged agency success and effective utilization of ERP system

## OPERATIONS



### *Shared Service Team Continues To Gain More Effectiveness and Knowledge Acquisition*

- Processed > 1.2 Million payments, paying approximately 95,000 employees. Processed 93,000 W-2 Forms in FY0910.
- Resolved over 113,000 BEST call center tickets
- Successfully closed > 1,000 SAP Solution Manager tickets
- Completed two benefits enrollment periods with BEST processing 17,000 State Health Plan and > 4,000 NCFlex Plan enrollments
- Approved and processed 11,000+ organizational management personnel change requests
- Delivered two successful system enhancement releases in FY 0910 covering 36 enhancements/upgrades/break fixes
- Currently 472 pending Solution Manager initiatives. Assuming average required planning, testing, and implementation requirements, approximately 18,601 project hours required to implement.

## Office of State Controller – HR/Payroll (BEACON)

## CONTINUATION BUDGET

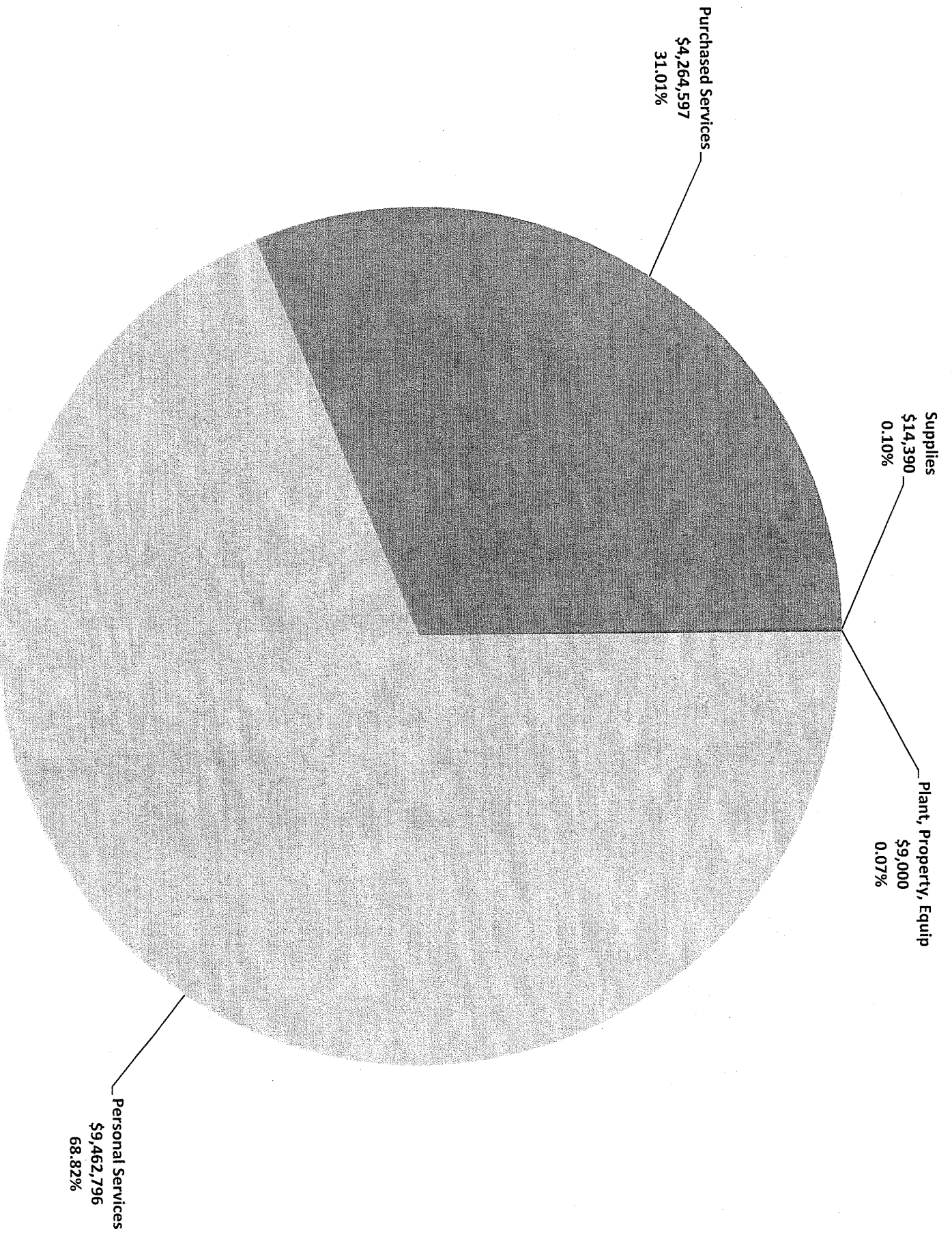
## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u>					
14160					
Total Requirements	\$13,029,917	\$13,662,835	\$13,662,835	\$13,750,783	\$13,750,783
Receipts	\$726,743	\$ 733,525	\$ 733,525	\$ 733,525	\$ 733,525
General fund Appropriation	\$12,303,174	\$12,929,310	\$12,929,310	\$13,017,258	\$13,017,258
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)
Adjusted General Fund Appropriation	\$12,303,174	\$12,929,310	\$12,929,310	\$13,017,258	\$13,017,258

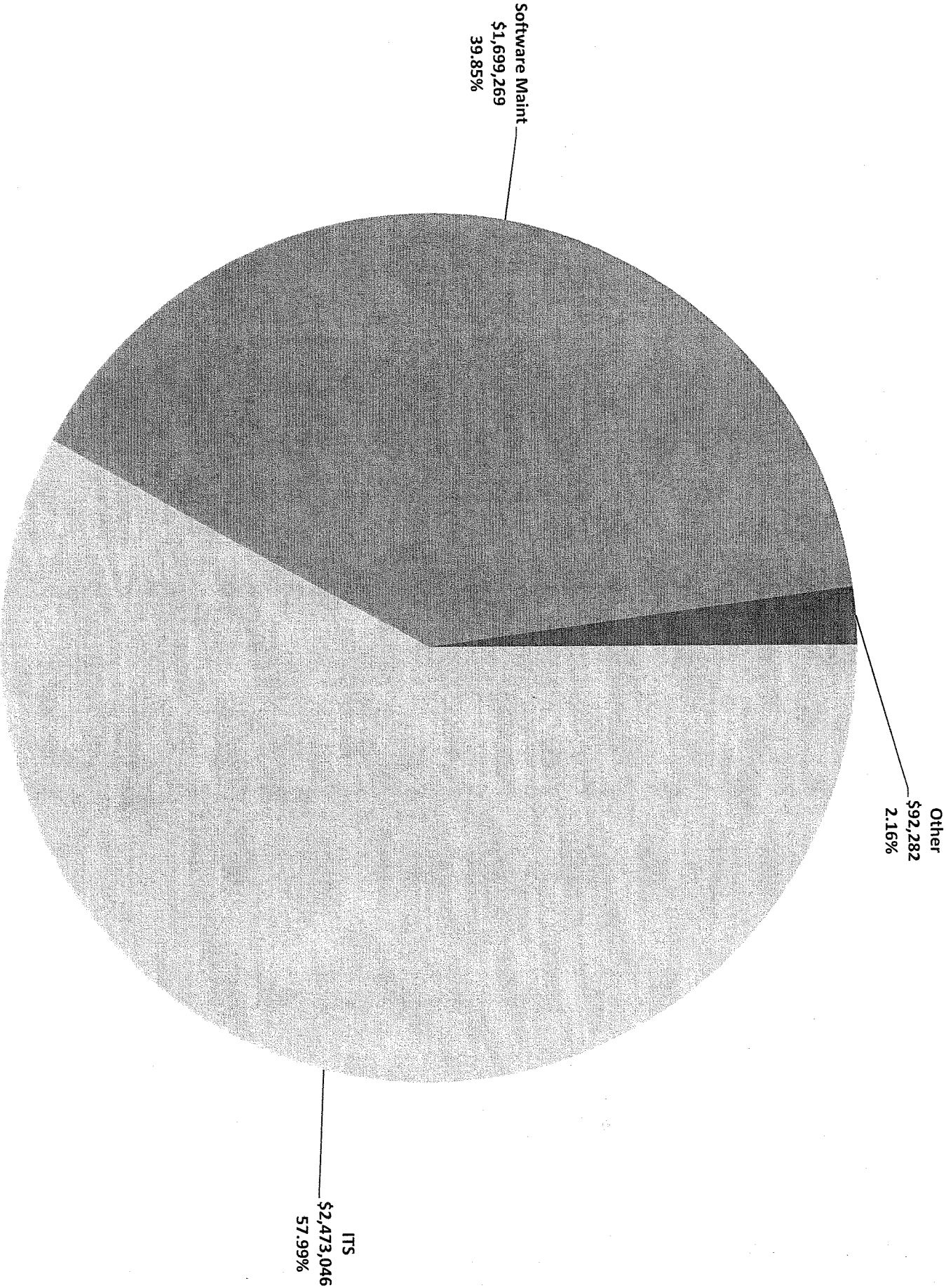
## Expenditures by Category – Total Requirements:

Personal Services (531xxx) - \$ 9,462,796  
 Purchases service (532xxx) - \$ 4,264,597  
 Supplies (533xxx) - \$ 14,390  
 Property, Plant and Equipment - \$ 9,000

# OSC HR/Payroll FY 2011-12 Budget



OSC HR/Payroll Purchased Services FY 2011-12 Budget



Total Purchased Services Budget = \$4,264,597  
Other Includes Postage, Repairs, Travel, Insurance, Non-Software Maint, etc

## Office of State Controller – HR/Payroll Special Fund

## CONTINUATION BUDGET

## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u>					
24160					
Total Requirements	\$3,371,433	\$3,785,508	\$3,785,508	\$1,249,065	\$909,809
Receipts	\$50,000	\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance	\$3,321,433	\$3,785,508	\$3,785,508	\$1,249,065	\$909,809
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)

Present Fund Balance: \$5,206,349 (1/31/2011)

Estimated Remaining Fund Balance at Year End: \$3,500,000

Request for the Biennium: \$2,158,875

## Expenditures by Category – Total Requirements:

2011-12

Personal Services (531xxx) - \$ 320,316  
Purchases service (532xxx) - \$ 928,749

2012-13

Personal Services (531xxx) - \$ 320,316  
Purchases service (532xxx) - \$ 928,749

## OSC ERP ONE-TIME CARRYFORWARD FUNDING REQUIREMENTS

		10-11	11-12
ERP/NCAS Training Positions - Five Time Limited Balance of FY 10-11 <sup>1</sup>	320,316	320,316	
ERP/NCAS Training Positions - Five Time Limited FY 11-12 <sup>1</sup>	320,316		320,316
Hardware Refresh Equipment Upgrade/Move Balance Due	23,581	23,581	
ITS Computer Data Processing /Storage Increases/Normal Expansion/System Growth	156,959	156,959	
OSP Temp Solutions Staffing For Call Center FY 10-11 Balance	114,776	114,776	
Contract BI Reporting Support Grom - U. Pothireddy Contract Balance FY 10-11 <sup>2</sup>	147,700	147,700	
Contract PR Production Support GAP - P. Corriveau Contract Balance FY 10-11 <sup>2</sup>	169,105	169,105	
Contract Portal Production Support T Shark Intelligence -T. Ficker Contract Balance FY 10-11 <sup>2</sup>	149,234	149,234	
Contract BASIS Support XCalibur - M. Bukolt Contract Balance FY 10-11 <sup>2</sup>	152,050	152,050	
Contract Security Support Eckerman Contract Balance FY 10-11 <sup>2</sup>	15,344	15,344	
Contract PR Production Support GAP - P. Corriveau FY 11-12 <sup>2</sup>	232,500		232,500
Contract Portal Production Support T Shark Intelligence -T. Ficker FY 11-12 <sup>2</sup>	218,684		218,684
Contract BASIS Support XCalibur - M. Bukolt FY 11-12 <sup>2</sup>	138,309		138,309
	<u>2,158,875</u>	<u>1,249,065</u>	<u>909,809</u>

<sup>1</sup> Training Staff necessary for the following activities:

- Train the various modules and environments - SAP, ESS/MSS, NCAS, BI, eCommerce
- Instructs SAP HR/Payroll and NCAS related training
- Develop training materials
- Serve as OSC Training & Development personal contacts for state agency training agents
- Website and eLearning content developer

<sup>2</sup> Staff provide the following types of support in area specified - BI, PR, Portal, Basis

- Coordinate capacity management and system design specifications/modifications
- Apply upgrades and support packages
- Support of the end-user on-line help product
- Installation and Support of 3rd Party Software to support the HR/Payroll system
- Support the Java/Portal Employee Self Service/Manager Self Service environment
- Connectivity and architectural configuration of the system landscape
- Impact analysis and load performance
- System monitoring/troubleshooting
- Testing enhancements, configuration, patches and upgrades
- Requirements, functional specs for development of programs, interfaces/transactions

# **Special Provision Proposal**

## **To Establish OSC ERP Training Support Positions**

The Office of the State Controller shall use existing BEACON ERP receipts to support five full-time, time limited positions to staff the statewide enterprise training program as follows:

- 1) \$69,420 nonrecurring in fiscal year 2011-2012 for one SAP/NCAS Staff Development Coordinator.
- 2) \$60,240 nonrecurring in fiscal year 2011-2012 for one SAP/NCAS Technical Support Technician (web developer).
- 3) \$190,656 nonrecurring in fiscal year 2011-2012 for three Staff Development Specialists (trainers).

## **Background**

Session Law 2009-451, Senate Bill 451, Section 23.2(a) allowed the continued use of six full-time, time limited positions to support statewide enterprise training programs as follows:

- (1) Two Staff Development Specialists II (\$112,525).
- (2) One BEACON University Trainer (\$70,928).
- (3) One Technical Support Technician (\$64,708).
- (4) One Administrative Support Specialist (\$45,347).
- (5) One Business and Technology Application Technician (\$70,928).

Permanent full time positions were not allocated for ERP and NCAS related training requirements. Funding for these positions was included in the FY 2011-2013 Office of the State Controller (OSC) expansion budget request. However, until such time approval is granted for permanent positions and in order to meet on-going training requirements and ensure the continued success of the state's human resources/payroll and finance initiatives the Office of the State Controller seeks to approve five full-time, time limited positions to support statewide enterprise training programs relating to HR/payroll and finance.

## **In Conclusion**

Approval of this provision will enable OSC to:

- 1) Manage the technical training delivery in the OSC environments relating to - SAP, ESS/MSS, BI, NCAS, and e-Commerce.
- 2) Develop technical training materials, Business Process Plans, job aids/FAQ help documents, and web-based simulations.
- 3) Establish web sites and assist in developing online training classes, web content and applications
- 4) Instruct SAP HR/Payroll, NCAS, and e-Commerce integrated technical applications through classroom instructor-led and virtual distance web-based instructor led training.
- 5) Serve as OSC Training and Development subject matter expert contacts for state agency training representatives and SAP and NCAS system users.

**BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I**

ACCOUNT	DESCRIPTION (1)	2009-2010	2010-2011	INCR/DECR (4)	2011-2012	INCR/DECR (6)	2012-2013
		ACTUAL (2)	AUTHORIZED (3)		TOTAL (5)		TOTAL (7)
REQUIREMENTS							
HUMAN RESOURCES/ PAYROLL DIVISION (BEACON)							
1000 2451/2456/1002							
53 1211	SPA-REG SALARIES-APPRO	\$6,203,732	\$6,792,386	\$62,679	\$6,855,065	\$62,679	\$6,855,065
53 1212	SPA-REG SALARIES-RECEIPT	\$506,304	\$537,249	\$0	\$537,249	\$0	\$537,249
53 1222	SPA TIME LIMITED SAL-REC	\$259,142	\$0	\$0	\$0	\$0	\$0
53 1411001	STRAIGHT-TIME OT - APPRO	\$226	\$0	\$0	\$0	\$0	\$0
53 1421	HOLIDAY PAY - APPROP.	\$68	\$0	\$0	\$0	\$0	\$0
53 1461	EPASPA-LONGVTY PAY-APPR	\$79,314	\$89,605	\$0	\$89,605	\$0	\$89,605
53 1462	EPASPA-LONGVTY PAY-REC	\$4,298	\$4,090	\$0	\$4,090	\$0	\$4,090
53 1511	SOCIAL SEC CONTRIB-APPRO	\$457,407	\$526,474	\$4,796	\$531,270	\$4,796	\$531,270
53 1512	SOCIAL SECURITY CONTRB-R	\$55,406	\$43,100	\$0	\$43,100	\$0	\$43,100
53 1521	REG RETIRE CONTRIB-APPRO	\$549,831	\$723,299	\$13,147	\$736,446	\$13,147	\$736,446
53 1522	REG RETIRE CONTRIB-RECEI	\$67,353	\$57,668	\$0	\$57,668	\$0	\$57,668
53 1561	MED INS CONTRIB-APPRO	\$419,967	\$536,491	\$7,326	\$543,817	\$7,326	\$543,817
53 1562	MED INS CONTRIB-RECEIPTS	\$78,726	\$64,486	\$0	\$64,486	\$0	\$64,486
53 1576	FLEXIBLE SPENDING SAVING	\$14,477	\$0	\$0	\$0	\$0	\$0
53 1631	WRKER COMP-MED PAYMENTS	\$12,826	\$0	\$0	\$0	\$0	\$0
53 1639	OTHER WORKERS COMP COSTS	\$1,085	\$0	\$0	\$0	\$0	\$0
TOTAL PERSON AL SERVICES		\$8,710,162	\$9,374,848	\$87,948	\$9,462,796	\$87,948	\$9,462,796
53 2140	INFORMATN TECHNOLOGY SVC	\$101	\$80,681	\$0	\$80,681	\$0	\$80,681
53 2143	LAN SUPPORT SERVICES	\$46,912	\$54,356	\$0	\$54,356	\$0	\$54,356

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
53 2146	Mainframe Support Svc.	\$0	\$32,449	\$0	\$32,449	\$0	\$32,449
53 2310005	REPAIRS-BUILDINGS	\$253	\$250	\$0	\$250	\$0	\$250
53 2333	REPAIRS-OTHER EQUIP	\$135	\$200	\$0	\$200	\$0	\$200
53 2430	MAINT AGREEMENT-EQUIP	\$5,268	\$6,022	\$0	\$6,022	\$0	\$6,022
53 2446	MAINT AGREE-1AN EQUIP	\$0	\$350	\$0	\$350	\$0	\$350
53 2447	MAINT AGREE-PC & PRINTER	\$0	\$100	\$0	\$100	\$0	\$100
53 2448	MAINT AGREE-PC SOFTWARE	\$316	\$2,480	\$0	\$2,480	\$0	\$2,480
53 2449	MAINT AGREE-SERVER SOFTW	\$1,665,588	\$1,690,317	\$0	\$1,690,317	\$0	\$1,690,317
53 2512	RENT/LEASE-BLDINGS/OFFIC	\$476,861	\$0	\$0	\$0	\$0	\$0
53 2524	RENT/LEASE-GEN OFF EQUIP	\$8,380	\$8,380	\$0	\$8,380	\$0	\$8,380
53 2714	TRANSP-GRND - IN STATE	\$0	\$200	\$0	\$200	\$0	\$200
53 2721	LODGING - IN STATE	\$75	\$150	\$0	\$150	\$0	\$150
53 2722	LODGING-OUT STATE, IN US	\$0	\$2	\$0	\$2	\$0	\$2
53 2724	MEALS - IN STATE	\$35	\$100	\$0	\$100	\$0	\$100
53 2811	TELEPHONE SERVICE	\$280,537	\$280,220	\$0	\$280,220	\$0	\$280,220
53 2812	TELECOMM DATA CHARGE	\$22,177	\$27,000	\$0	\$27,000	\$0	\$27,000
53 2814	CELLULAR PHONE SERVICES	\$5,429	\$5,500	\$0	\$5,500	\$0	\$5,500
53 2821	COMPUTER/DATA PROCESS SV	\$984,007	\$1,302,840	\$0	\$1,302,840	\$0	\$1,302,840
53 2822	MANAGED 1AN SERVICE CHAR	\$689,855	\$690,000	\$0	\$690,000	\$0	\$690,000
53 2840003	POSTAGE,FREIGHT & DELIV	\$68,869	\$68,000	\$0	\$68,000	\$0	\$68,000
53 2850	PRINT,BIND,DUPLICATE	\$8,181	\$5,000	\$0	\$5,000	\$0	\$5,000

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
53 2930	REGISTRATION FEES	\$170	\$10,000	\$0	\$10,000	\$0	\$10,000
53 2941	EMP EDUCATION ASSIST PRO	\$5,285	\$0	\$0	\$0	\$0	\$0
	TOTAL PURCHASED SERVICES	\$4,268,434	\$4,264,597	\$0	\$4,264,597	\$0	\$4,264,597
53 3110	GENERAL OFFICE SUPPLIES	\$9,862	\$12,390	\$0	\$12,390	\$0	\$12,390
53 3120	DATA PROCESSING SUPPLIES	\$1,534	\$2,000	\$0	\$2,000	\$0	\$2,000
	TOTAL SUPPLIES	\$11,396	\$14,390	\$0	\$14,390	\$0	\$14,390
53 4523	EQUIP-SCIENTIFIC/MEDICAL	\$1,495	\$0	\$0	\$0	\$0	\$0
53 4534	PERSONAL COMP & PRINTERS	\$1,454	\$4,000	\$0	\$4,000	\$0	\$4,000
53 4713	PC SOFTWARE PURCHASES	\$4,012	\$5,000	\$0	\$5,000	\$0	\$5,000
	TOTAL PROPERTY, PLANT & EQUIPMENT	\$6,961	\$9,000	\$0	\$9,000	\$0	\$9,000
53 5830	MEMBERSHIP DUES&SUBSCRIP	\$32,052	\$0	\$0	\$0	\$0	\$0
53 5890	OTHER ADMIN EXPENSE	\$912	\$0	\$0	\$0	\$0	\$0
	TOTAL OTHER EXPENSES & ADJUSTMENTS	\$32,964	\$0	\$0	\$0	\$0	\$0
	TOTAL REQUIREMENTS	\$13,029,917	\$13,662,835	\$87,948	\$13,750,783	\$87,948	\$13,750,783
	ESTIMATED RECEIPTS						
43 8114	TRF IN-OSC - 24160	\$30,000	\$30,000	\$0	\$30,000	\$0	\$30,000
43 8115	TRF IN-DOT	\$450,924	\$461,041	\$0	\$461,041	\$0	\$461,041
43 812B	TRF IN-DHHS PUBLIC HEALTH	\$12,325	\$4,809	\$0	\$4,809	\$0	\$4,809
43 8120	TRF IN-DHHS CENTRAL ADMIN	\$35,758	\$45,559	\$0	\$45,559	\$0	\$45,559

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
43 8128	TRF IN-DHR-DIV VOC REHAB	\$52,570	\$50,580	\$0	\$50,580	\$0	\$50,580
43 8130	TRF IN-DHHS MENTAL HEALTH	\$51,894	\$49,729	\$0	\$49,729	\$0	\$49,729
43 8141	TRF IN - ITS	\$53,089	\$52,362	\$0	\$52,362	\$0	\$52,362
43 8144	TRF IN-EMPLOY SEC COMM	\$40,183	\$39,445	\$0	\$39,445	\$0	\$39,445
-----							
TOTAL RECEIP TS		\$726,743	\$733,525	\$0	\$733,525	\$0	\$733,525
-----							
NET APPROPRIATION		\$12,303,174	\$12,929,310	\$87,948	\$13,017,258	\$87,948	\$13,017,258

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
HUMAN RESOURCE/ PAYROLL (BEACON) - SPECIAL FUND							
2401 2451 & 2456							
53 2140	INFORMATN TECHNOLOGY SVC	\$704,226	\$211,200	\$0	\$0		\$0
53 2143	LAN SUPPORT SERVICES	\$5,695	\$0	\$0	\$0		\$0
53 2170002	ADMIN SVC-TEMP AGENCY SV	\$434	\$0	\$0	\$0		\$0
53 2199	MISC CONTRACTUAL SERVICE	\$896,367	\$906,339	\$0	\$928,749		\$500,125
53 2430	MAINT AGREEMENT-EQUIP	\$2,010	\$0	\$0	\$0		\$0
53 2449	MAINT AGREE-SERVER SOFTW	\$139,871	\$79,134	\$0	\$0		\$0
53 2712	TRANS AIR-OUT STATE	\$258	\$0	\$0	\$0		\$0
53 2714	TRANSP-GRND-IN STATE	\$54	\$0	\$0	\$0		\$0
53 2715	TRANS GRND-OUT STATE	\$171	\$0	\$0	\$0		\$0
53 2722	LODGING-OUT STATE	\$219	\$0	\$0	\$0		\$0
53 2725	MEALS-OUT OF STATE	\$38	\$0	\$0	\$0		\$0
53 2821	COMPUTER/DATA PROCESS SV	\$250,940	\$0	\$0	\$0		\$0
53 2822	MANAGED LAND SERVICE CHA	\$1,319,228	\$2,184,537	\$0	\$0		\$0
53 2942	OTHER EMP EDUCATIONAL EX	\$2,300	\$0	\$0	\$0		\$0
TOTAL PURCHA SED SERVICES		\$2,957,373.00	\$3,381,210.00	\$0.00	\$928,749.00	\$0.00	\$500,125.00
53 4711	OTHER COMPUTER SOFTWARE	\$44,948.00	\$39,860.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL PROPER TY, PLANT & EQUIPMT		\$44,948.00	\$39,860.00	\$0.00	\$0.00	\$0.00	\$0.00
53 58114	Transfer to 14160	\$364,438.00	\$364,438.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL OTHER EXPENSES & ADJUSTMENTS		\$4,674.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
	TOTAL REQUIREMENTS	\$3,371,433.00	\$0.00	\$0.00	\$0.00		\$0.00
	ESTIMATED RECEIPTS						
43 8141	TRF IN-ITS	\$50,000	\$0		\$0		\$0
	TOTAL RECEIPTS	\$50,000	\$0		\$0		\$0
	CHANGE IN FUND BALANCE	-\$3,321,433	\$3,785,508	\$0	\$928,749	\$0	\$500,125

## Risk Mitigation Services

- Statutory Authority:  
§143D-6-8; Chapter 143D-3 (EAGLE); § 147.64.4(4) (overpayment audit)
- Organization Chart  
See pages 17

- Major Responsibilities

Risk Mitigation Services, through its leadership, consultation and oversight (monitoring), provides services designed to assist all state entities in managing their risk to an acceptable level. An effective risk management program allows an entity to strategically address and manage potential threats and uncertainty that may negatively impact its operations. Such risk may result from a material misstatement in an entity's financial statements or misappropriation of an entity's assets. Risk may also result from a disruption to an entity's normal operations (through accidents, natural causes, or disasters) or through deliberate and malicious attacks launched by an adversarial party.

Risk Mitigation Services supports all state entities in meeting their statutory responsibilities by assisting them in the improvement of their performance, efficiency and fiscal accountability. We provide educational awareness programs for state employees; and, through the use of tools designed to provide a systematic, disciplined approach to evaluating risk, we improve the effectiveness of risk management, internal control, and governance processes within state entities. For the internal operations of the Office of the State Controller (OSC), we offer guidance and support to ensure continuity of the State's fiscal operations, as well as the security and integrity of State's critical data resources.

The following list provides a more detailed look at the primary duties and responsibilities of Risk Mitigation Services:

### **EAGLE Program (Enhancing Accountability in Government through Leadership and Education)**

- Establishes statewide policies and procedures on internal control
- Provides statewide education awareness and training programs on internal control
- Provides internal control guidance and self-assessment tools
- Provides consultative assistance to state entities on the assessment of risk and internal control matters
- Performs compliance monitoring to ensure the requirements of NCGS Chapter 143D are met

### **Internal and External Reviews**

- Performs Internal Control Compliance Reviews of state entities in accordance with NCGS §143B-426.39(1) and §143B-426.39B
- Performs reviews of OSC programs/activities as requested by senior management

## NORTH CAROLINA OFFICE OF THE STATE CONTROLLER

### **Information Security Program**

- Develops and implements internal information security policies and procedures to complement those issued by the State Chief Information Officer
- Provides an educational awareness and training program for OSC employees and contractors regarding information security trends and related issues
- Coordinates all Incident Responses (includes incidents resulting from breaches falling under the purview of the Payment Card Industry's Data Security Standard Program )
- Liaisons with the Office of Information Technology Services on all security-related matters

### **Business Continuity and Disaster Recovery /Continuity of Operations (COOP) and Pandemic Response Planning Program**

- Coordinates the development and semi-annual review of OSC's COOP/Business Continuity/Disaster Recovery/Pandemic Response Plans to ensure compliance with NCGS §147-33.89, Executive Orders 102 and 118, and OSC policy requirements
- Provides an educational awareness and training program for OSC employees and contractors to ensure they are prepared to respond to an unplanned disruption in normal business operations
- Coordinates the execution of the plans in the event of an actual disaster

### **Statutory/Regulatory Compliance Program**

- Provides educational awareness and training program for OSC employees and contractors concerning Health Care Insurance Portability and Accountability Act (HIPAA), "Red Flag" regulations which resulted from the Fair and Accurate Credit Transactions Act of 2003, and North Carolina's Identity Theft Protection Act of 2005, etc.
- Performs periodic monitoring to ensure that OSC remains in compliance with applicable statutory and regulatory requirements

### **Special Investigations**

- Performs internal investigations (personnel) or other special investigations as directed by State Controller
- Provides assistance to law enforcement and regulatory authorities as necessary

### **State Auditor Liaison**

- Coordinate all OSC audit-related activities and responses to audit findings affecting OSC operations

NORTH CAROLINA OFFICE OF THE STATE CONTROLLER

- Position Summary  
5 Appropriated FTE
- Vacancy List from BEACON  
No vacancy
- Retirement Eligibility:  
Position count for employees **within three years** of retirement  
None  
  
Position count for employees **with thirty years** of service  
None

## Program Information for Risk Mitigation Services

As of February 22, 2011

### Overpayments Audit and Recovery Program

At the direction of the General Assembly, the Office of the State Controller contracts with a third-party vendor to perform an audit and collection process of inadvertent overpayments by State agencies to vendors as a result of pricing errors, neglected rebates and discounts, miscalculated freight charges, unclaimed refunds, erroneously paid excise taxes, and related errors. The third-party vendor is compensated only from funds recovered as a result of the audit. To date, fiscal years 1999 - FY2008 have been audited. Efforts are presently underway to gather the data necessary to begin the audit for fiscal years 2009 and 2010. The following chart analyzes the activity in the Special Reserve Account where the funds collected are deposited. The current balance in the Special Reserve Account is \$14,302.84.

Fiscal Year	Deposited Amount	Third-Party Vendor Payment	Transfers Out						Balance
			General Fund	OSC Spec Fund	DHHS	DPI	DOT	Payroll	
1999	--	--	--	--	--	--	--	--	--
2000	217,251.83	67,624.54	--	--	--	--	--	--	149,627.29
2001	178,363.82	194,464.07	--	--	--	--	--	--	133,527.04
2002	2,674,608.49	961,758.54	--	--	--	--	--	--	1,846,376.99
2003	626,201.91	421,834.10	1,800,000.00	140,000.00	16,933.72	--	--	--	93,811.08
2004	94,925.10	28,855.31	--	--	--	--	--	--	159,880.87
2005	700,317.95	233,005.82	--	140,000.00	--	--	--	--	487,193.00
2006	42,571.22	124.00	--	495,153.05	--	4,300.44	28,663.77	--	1,522.96
2007	28,465.08	5,118.54	--	--	--	--	--	--	24,869.50
2008	1,000.00	620.00	--	--	--	--	--	--	25,249.50
2009	271,502.67	83,159.13	--	186,816.76	--	--	--	--	26,776.28
2010	232,381.89	89,085.40	--	137,736.30	--	--	--	2,800.53	29,535.94
2011	11,602.42	4,835.52	--	22,000.00	--	--	--	--	14,302.84
<b>Totals</b>	<b>5,079,192.38</b>	<b>2,090,484.97</b>	<b>1,800,000.00</b>	<b>1,121,706.11</b>	<b>16,933.72</b>	<b>4,300.44</b>	<b>28,663.77</b>	<b>2,800.53</b>	<b>14,302.84</b>

### Unclaimed Property Database Review

Since 2004, the Office of the State Controller has performed annual reviews of the State Treasurer's Unclaimed Property database in search of unclaimed funds belonging to agencies of the State that have been escheated. Net funds received to-date through the 2010 review are: \$1,729,553.14. Expenditures from the account have been used to support statewide initiatives such as the EAGLE and Foreign Nationals Tax Compliance Programs (\$1,041,785), transfer funds to the Office of State Personnel, as

directed by the General Assembly, to contract for an immigration attorney (\$100,000) and a General Fund reversion (\$442,460) in FY2010. The current balance in the account is: \$145,308.14. Claims totaling \$131,670.76 have been submitted to the Department of the State Treasurer for the 2011 review. These claims have not yet been paid and are subject to the verification and approval of the Department of the State Treasurer.

### **EAGLE**

The EAGLE Program was developed to assist agencies in achieving compliance with the State Governmental Accountability and Internal Control Act (NCGS Chapter 143D). Its scope includes: internal control over financial reporting, compliance with applicable laws and regulations, and efficiency and effectiveness of operations.

The EAGLE Program was launched in February, 2008 and has been rolled out to all state agencies and community colleges. Note: The University of North Carolina System has been granted a waiver from using EAGLE Program self-assessment methodology. They are implementing a similar program called UNC-FIT. Although different in methodology, the goals and objectives of the UNC-FIT Program are comparable to those of the EAGLE Program.

During calendar year 2010, Risk Mitigation Services provided three webinar training opportunities on various internal control topics to a statewide audience. Each of these webinars provided participants with the opportunity to receive up to two continuing professional education credits. Two additional webinars are planned for calendar year 2011.

During FY2010, all state agencies, universities, and community colleges certified their compliance with the requirements of Chapter 143D.

### **Foreign National Tax Compliance**

Risk Mitigation Services provides tax compliance and tax compliance software support to all state agencies, universities and community colleges in matters pertaining to the payment and compensation of foreign nationals. We also assist the Department of Public Instruction in their support efforts for LEAs and charter schools.

During calendar year, 2010, Risk Mitigation Services provided six webinar training opportunities on a variety of tax compliance topics pertaining to the payment and compensation of foreign nationals and on the use of the tax compliance software which has been implemented statewide. Six additional training opportunities are scheduled for calendar year 2011.

Office of State Controller --- Risk Mitigation

CONTINUATION BUDGET

Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u> 14160					
Total Requirements	\$0	\$444,617	\$444,617	\$444,617	\$444,617
Receipts	\$0	\$ 0	\$ 0	\$ 0	\$ 0
General fund Appropriation	\$0	\$444,617	\$444,617	\$444,617	\$444,617
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)
Adjusted General Fund Appropriation	\$22,065,699	\$31,164,540	\$31,164,540	\$32,517,077	\$32,517,077

Expenditures by Category – Total Requirements:  
     Personal Services (531xxx)     - \$444,617

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION (1)	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
RISK MITIGATION SECTION							
1000 3003							
53 1211	SPA-REG SALARIES-APPRO	\$0	\$350,056	\$0	\$350,056	\$0	\$350,056
53 1461	EPA&SPA-LONGVTY PAY-APPR	\$0	\$5,369	\$0	\$5,369	\$0	\$5,369
53 1511	SOCIAL SEC CONTRIB-APPRO	\$0	\$27,191	\$0	\$27,191	\$0	\$27,191
53 1521	REG RETIRE CONTRIB-APPRO	\$0	\$37,356	\$0	\$37,356	\$0	\$37,356
53 1561	MED INS CONTRIB-APPRO	\$0	\$24,645	\$0	\$24,645	\$0	\$24,645
TOTAL PERSONAL SERVICES		\$0	\$444,617	\$0	\$444,617	\$0	\$444,617
TOTAL REQUIREMENTS		\$0	\$444,617	\$0	\$444,617	\$0	\$444,617
ESTIMATED RECEIPTS							
TOTAL RECEIPTS							
		\$0	\$0	\$0	\$0	\$0	\$0
NET APPROPRIATION		\$0	\$444,617	\$0	\$444,617	\$0	\$444,617

## E-Commerce

- Statutory Authority:  
Senate Bill 222 in 1999
- Organization Chart:  
See Pages 24
- Major Responsibilities :

With the passage of Senate Bill 222 in 1999, the Office of the State Controller (OSC) assumed the lead role in expanding the State's electronic commerce program on a statewide enterprise basis. This section has the primary mission of maximizing the use of electronic payments within state government, a stated objective of the General Statutes.

The State's E-Commerce program primarily involves two methods of facilitating electronic payments, for payments both disbursed and received by a state agency. The various methods can be categorized into two broad types of payments:

- Electronic Funds Transfer (EFT)
- Merchant Cards (credit and debit cards)

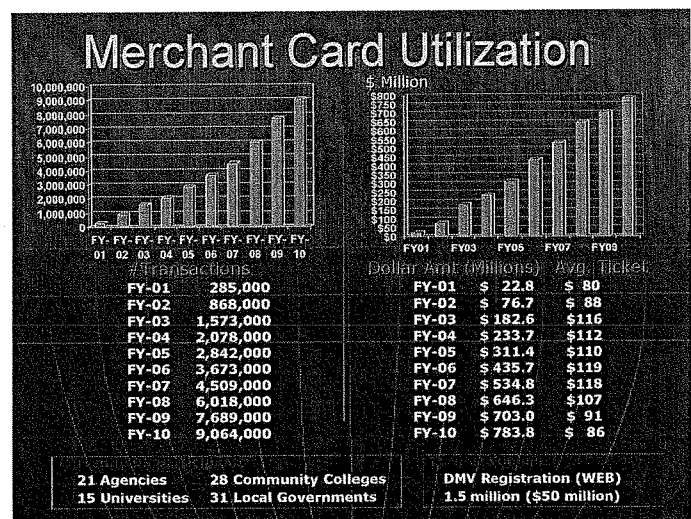
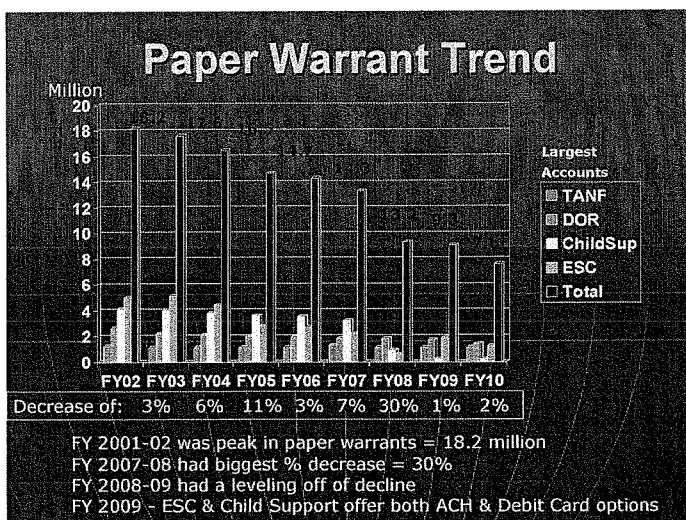
The E-Commerce Initiatives Section:

- Develops and updated policies as a result of the ever changing technology trends and industry regulatory requirements
- Procures and administers contracts with the various e-commerce service providers
  - Electronic Funds Transfer Services
  - Merchant Cards Processing Services
  - Payment Card Industry Security Assessor Services
- Provides consulting, education, and training to the various agencies, universities, and community colleges
- Enhances the monitoring system to ensure agency compliance with cardholder data security, thereby reducing risk of identity theft
- Position Summary  
1 Receipt Supported FTE (overpayment audit)
- Vacancy List from BEACON :  
No Vacancy
- Retirement Eligibility:  
Position count for employees **within three years** of retirement  
None

Position count for employees **with thirty years** of service  
1 Position --- # 60087099

## OSC's Statewide E-Commerce Program - 2011

- OSC administers three statewide enterprise contracts with the service providers needed to provide the various agencies with electronic payment services:
  - EFT Payments – Wachovia Bank
  - Credit/Debit Card Payments – First Data Merchant Services
  - PCI Data Security Compliance – Trustwave Holdings
- One of the objectives of OSC's e-Commerce Program is to eliminate paper-based payments, both inbound and outbound, thereby contributing to the State's "Green Initiative" as well as reducing the "per item" cost for initiating payments:
  - The vendor levied cost for an EFT payment is one penny
  - The vendor levied cost for a card payment is \$.04 (plus interchange fees of 1.7% - 2%)
- The biggest user of EFT for inbound payments is the Department of Revenue, where 2.9 million transactions were received electronically in FY 2009-10, totaling \$18.8 billion. This represented 75% of the tax dollars received.
- The biggest users of EFT for outbound payments are the Beacon Payroll System and the Retirement System. BEACON Payroll has a participation rate of 99% (85,000 out of 86,000) while the Retirement System has a participation rate of 96% (220,000 out of 229,000).
- The success of the EFT program for outbound payments can be measured in part by the decrease in the number of paper checks issued by the State:
  - There has been a steady decline in the issuance of paper checks since the peak in FY 2001-02, when 18.2 million paper checks were issued.
  - In FY 2009-10 there were only 7.6 million paper checks issued
  - That is nearly a 60% reduction in eight years
  - If stacked vertically, the stack of 10.6 million checks eliminated annually would have been 5,000 feet tall, equivalent to the height of four Empire State Buildings
- The success of the merchant card program, which supports the collection of inbound payments, primarily small dollar payments, can be measured in part by the increase in card activity from year to year:
  - The card transaction volume doubles every three years, and triples every five years
  - During the FY 2009-10, there were 9 million card transactions totaling \$784 million
  - If stacked vertically, the stack of 9 million checks eliminated by the card transactions would have been 4,280 feet tall, equivalent to the height of over three Empire State Buildings
  - The State's biggest merchant card application is offered by DMV for online vehicle registration, where 1.5 million transactions totaling \$50 million were transacted, with checks eliminated being equivalent to one-half the height of the Empire State Building



Office of State Controller – Special Provisions

**OVERPAYMENTS AUDIT**

**SECTION XX.(a)** During the 2011-2013 biennium, receipts generated by the collection of inadvertent overpayments by State agencies to vendors as a result of pricing errors, neglected rebates and discounts, miscalculated freight charges, unclaimed refunds, erroneously paid excise taxes, and related errors as required by G.S. 147-86.22(c) are to be deposited in the Special Reserve Account 24172.

**SECTION XX.(b)** For the 2011-2013 biennium, three hundred thousand dollars (\$300,000) of the funds transferred from the Special Reserve Account 24172 shall be used by the Office of the State Controller for e-commerce costs, data processing, or debt collection.

**SECTION XX.(c)** All funds available in the Special Reserve Account 24172 on July 1 of each year of the 2011-2013 biennium are transferred to the General Fund on that date.

**SECTION XX.(d)** Any unobligated funds in the Special Reserve Account 24172 that are realized above the allowance in subsection (b) of this section are subject to appropriation by the General Assembly.

**SECTION XX.(e)** The State Controller shall report quarterly to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on the revenue deposited into the Special Reserve Account 24172 and the disbursement of that revenue.

## Data Integration

- Statutory Authority:  
Session Law 2007-323, HB 1473; Session Law 2008-107, HB 2436; Session Law 2008-118, HB 2438; Session Law 2009-451, SB202; Session Law 2010-31, SB 897
- Organization Chart:  
See Pages 16
- Major Responsibilities:

As directed by Session Law 2007-323, HB 1473, a Strategic Plan for Statewide Data Integration was prepared by the Office of the State Controller in cooperation with the State Chief Information Officer, and delivered on April 30, 2008. This plan defined data integration as the capability of merging and reconciling dispersed data for analytical purposes through the use of standardized tools to support quick, agile, event-driven analysis for business and recommended the establishment of an environment where agencies and programs partner to develop business solutions which are enabled by technology.

The first project directed by the North Carolina General Assembly for the Office of State Controller was the development of a statewide criminal justice data integration pilot program. This project was to be implemented initially in Wake County before expansion statewide. The integration of criminal justice information allows more efficient and effective decision making by criminal justice professionals and improved safety of North Carolina's citizens.

CJLEADS (Criminal Justice Law Enforcement Automated Data Services) is a program designed to integrate data found within the state's various criminal justice applications and will provide up-to-date criminal information in a centralized location via a secure connection for use by state and local government criminal justice professionals.

CJLEADS has two primary objectives:

- To provide a comprehensive overview of an offender through a single application.
- To provide an "offender watch" capability to alert criminal justice professionals when an offender has a change in status within the criminal justice system.

The Wake County Pilot program was completed in the fall of 2010. Recurring budget appropriations will support the on-going operations and maintenance, continued design and development to complete subsequent phases of data and functionality to the application, and deployment the system to criminal justice professionals statewide.

- Position Summary  
1 Appropriated FTE for Data Integration; 30.5 FTE for CJLEADS
- Vacancy List from BEACON:  
No Vacancies – Positions were made effective throughout the year
- Retirement Eligibility:  
Position count for employees **within three years** of retirement  
1 Position --- # 65010540  
Position count for employees **with thirty years** of service  
None

## Office of State Controller – Data Integration

## CONTINUATION BUDGET

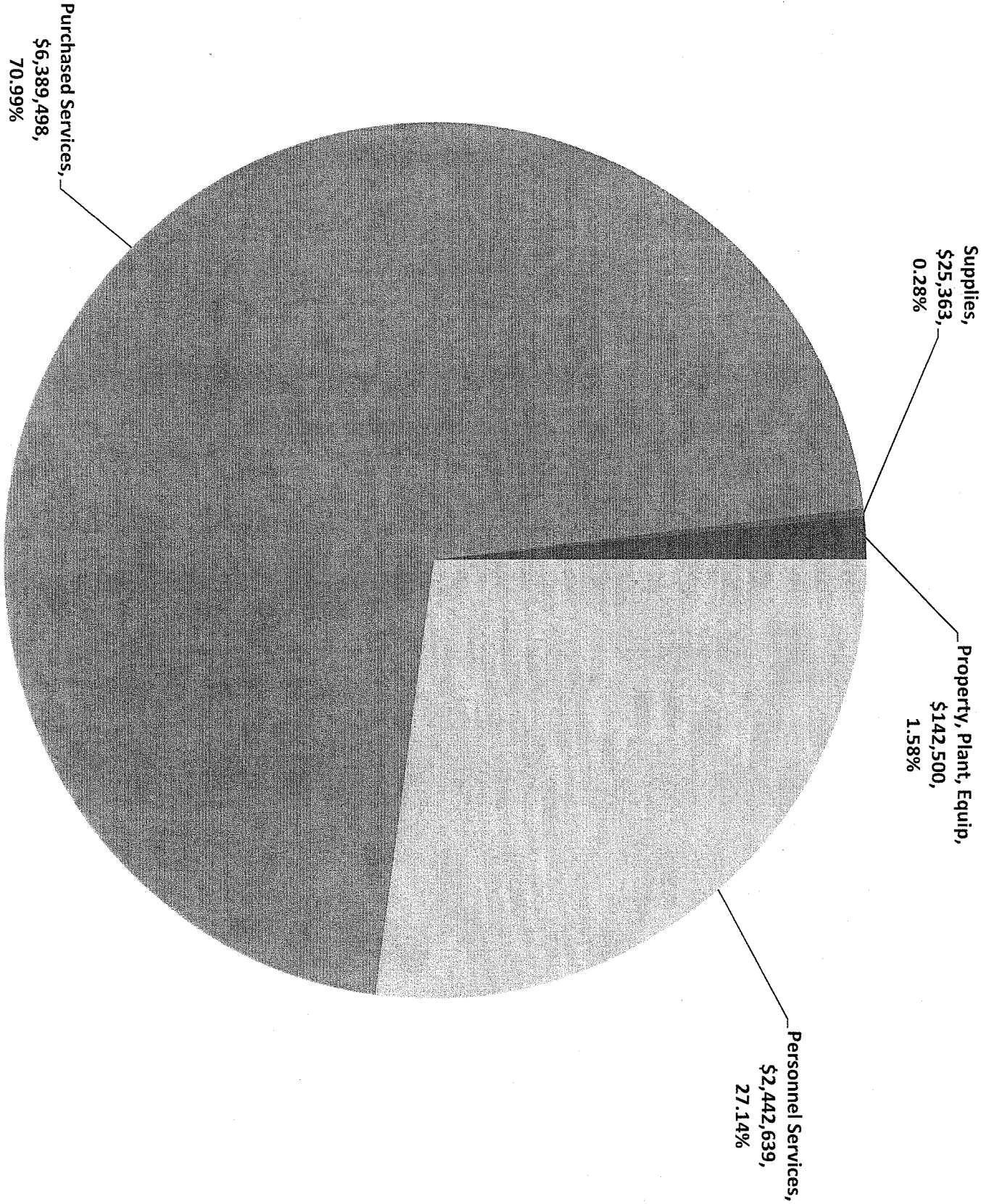
## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u>					
14160					
Total Requirements	\$124,616	\$131,428	\$8,991,516	\$9,131,428	\$9,131,428
Receipts	\$0	\$	\$ 0	\$ 0	\$ 0,000,000
General fund Appropriation	\$124,616	\$131,428	\$8,991,516	\$9,131,428	\$9,131,428
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)
Adjusted General Fund Appropriation	\$124,616	\$131,428	\$8,991,516	\$9,131,428	\$9,131,428

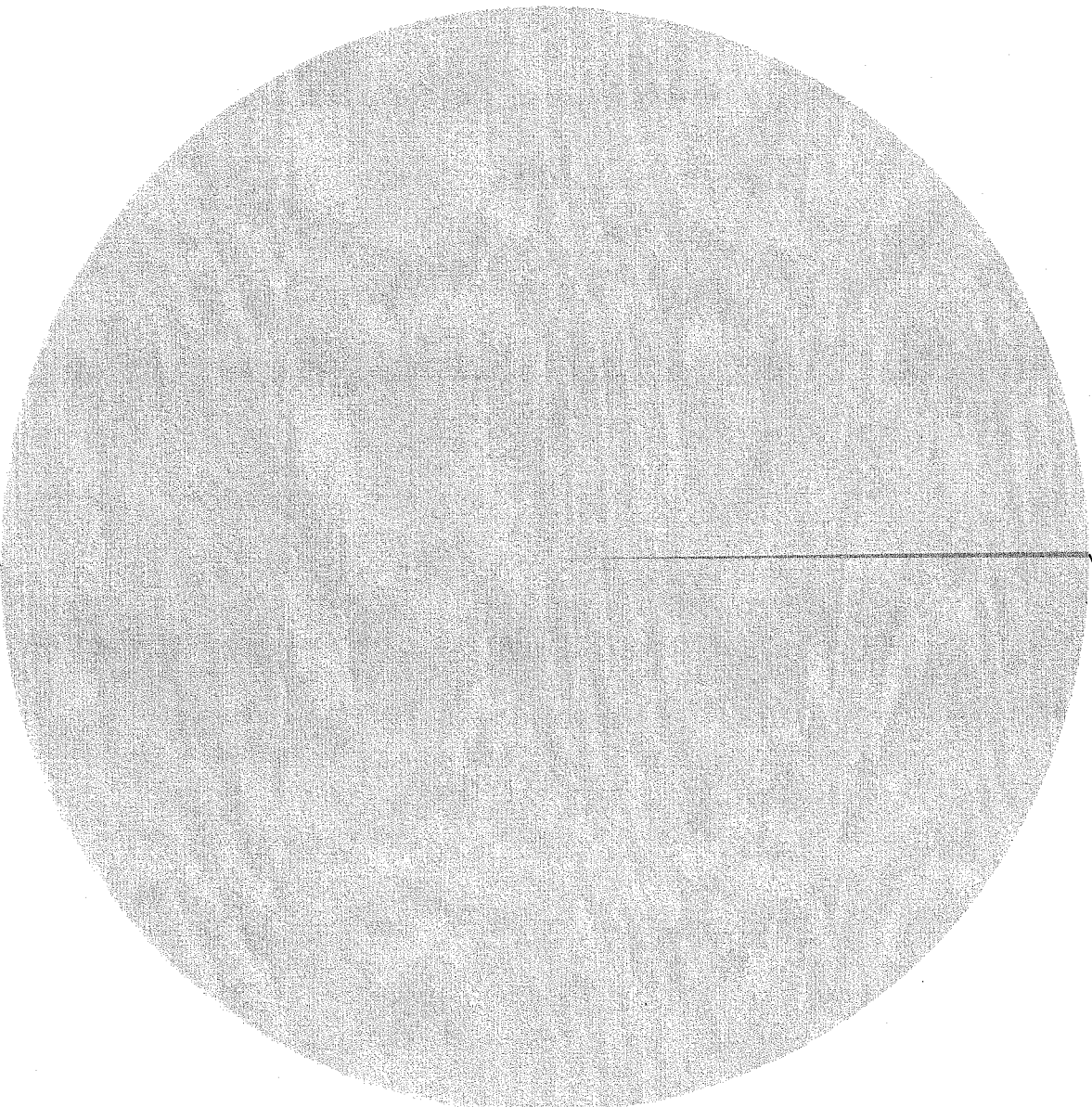
## Expenditures by Category – Total Requirements:

Personal Services (531xxx) - \$131,428  
 Intra-Governmental Transfer (532xxx) - \$9,000,000

OSC CLeads FY 2011-12 Budget



OSC CLeads Purchased Services Budget FY 2011-12



Other,  
\$11,000,  
0.17%

ITS,  
\$6,378,498,  
99.83%

Total Purchased Services Budget = \$6,389,498  
Other Includes Postage, Repairs, Travel, Insurance, Non-Software Maint, etc

## Office of State Controller -- CJLEADS

## CONTINUATION BUDGET

## Fiscal Data

	<b>2009-2010 <u>Actual</u></b>	<b>2010-2011 <u>Certified</u></b>	<b>2010-2011 <u>Authorized</u></b>	<b>2011-2012 <u>Recommended</u></b>	<b>2012-2013 <u>Recommended</u></b>
<b><u>General Fund</u></b>					
24160					
Total Requirements	\$10,143,029	\$11,860,088	\$11,860,088	\$9,000,000	\$9,000,000
Receipts	\$7,390,000	\$ 8,860,088	\$ 8,860,088	\$ 9,000,000	\$ 9,000,000
Fund Balance	\$2,753,029	\$3,000,000	\$3,000,000	\$00,000,000	\$00,000,000
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)

## Expenditures by Category – Total Requirements:

Personal Services (531xxx) - \$ 2,442,639  
 Purchases service (532xxx) - \$ 6,389,498  
 Supplies (533xxx) - \$ 25,363  
 Property, Plant and Equipment - \$ 142,500

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (1)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
DATA INTEGRATION DIVISION - INCLUDING CLEADS (APPROPRIATION)							
1000 2457							
53 1211	SPA-REG SALARIES-APPRO	\$107,057	\$107,057	\$0	\$107,057	\$0	\$107,057
53 1511	SOCIAL SEC CONTRIB-APPRO	\$8,159	\$8,190	\$0	\$8,190	\$0	\$8,190
53 1521	REG RETIRE CONTRIB-APPRO	\$9,368	\$11,252	\$0	\$11,252	\$0	\$11,252
53 1561	MED INS CONTRIB-APPRO	\$0	\$4,929	\$0	\$4,929	\$0	\$4,929
53 1576	FLEXIBLE SPENDING SAVING	\$32	\$0	\$0	\$0	\$0	\$0
TOTAL PERSONAL SERVICES		\$124,616	\$131,428	\$0	\$131,428	\$0	\$131,428
53 8114	TRANS-OUT-OSC	\$0	\$8,860,088	\$139,912	\$9,000,000	\$139,912	\$9,000,000
TOTAL INTRAGOVERNMENTAL TRANSACTIONS		\$0	\$8,860,088	\$139,912	\$9,000,000	\$139,912	\$9,000,000
TOTAL REQUIREMENTS		\$124,616	\$8,991,516	\$139,912	\$9,131,428	\$139,912	\$9,131,428
ESTIMATED RECEIPTS							
TOTAL RECEIPTS		\$0	\$0	\$0	\$0	\$0	\$0
NET APPROPRIATION		\$124,616	\$8,991,516	\$139,912	\$9,131,428	\$139,912	\$9,131,428

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (1)	2010-2011 AUTHORIZED (2)	INCR/DECR (3)	2011-2012 TOTAL (4)	INCR/DECR (5)	2012-2013 TOTAL (6)
REQUIREMENTS							
2200 F-COMMERCE INITIATIVES							
2200 1420							
53 1222	SPA TIME LIMITEDSAL-REC	\$109,862	\$109,862	\$0	\$109,862	\$0	\$109,862
53 1462	EPASPA-LONGEVITY PAY-RE	\$4,944	\$12,205	\$0	\$12,205	\$0	\$12,205
53 1512	SOCIAL SEC CONTRIB-RECEI	\$8,320	\$9,930	\$0	\$9,930	\$0	\$9,930
53 1522	REG RETIRE CONTRIB-RECEI	\$10,046	\$10,565	\$0	\$10,565	\$0	\$10,565
53 1562	MED INS CONTRIB-RECEIPTS	\$4,564	\$4,721	\$0	\$4,721	\$0	\$4,721
TOTAL PERSONAL SERVICES		\$137,736	\$147,283	\$0	\$147,283	\$0	\$147,283
TOTAL REQUIREMENTS		\$137,736	\$147,283	\$0	\$147,283	\$0	\$147,283
ESTIMATED RECEIPTS							
43 8114 TRANS-IN-OSC-4160							
		\$137,736	\$147,283	\$0	\$147,283	\$0	\$147,283
TOTAL RECEIPTS		\$137,736	\$147,283	\$0	\$147,283	\$0	\$147,283
CHANGE IN FUND BALANCE		\$0	\$147,283	\$0	\$147,283	\$0	\$147,283

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BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
2404 DATA INT EGRATIO							
2404 2457							
ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
53 1212	SPA-REG SALARIES-RECEIPT	0	1,355,750	584,250	1,940,000	584,250	1,940,000
53 1222	SPA TIME LIMITEDSAL-REC	126,538	0	0	0	0	0
53 1512	SOCIAL SEC CONTRIB-RECEI	9,340	102,495	45,915	148,410	45,915	148,410
53 1522	REG RETIRE CONTRIB-RECEI	11,072	142,489	61,405	203,894	61,405	203,894
53 1562	MED INS CONTRIB-RECEIPTS	5,683	100,449	49,886	150,335	49,886	150,335
53 1576	FLEXIBLE SPENDING SAVING	244	0	0	0	0	0
TOTAL PERSON AL SERVICES		152,877	1,701,183	741,456	2,442,639	741,456	2,442,639
53 2140	INFORMATN TECHNOLOGY SVC	7,476,176	6,934,805	-601,544	6,333,261	-601,544	6,333,261
53 2143	LAN SUPPORT SERVICES	0	4,905	0	4,905	0	4,905
53 2144	DESKTOP SUPPORT - ITS	0	30,378	0	30,378	0	30,378
53 2199	MISC CONTRACTUAL SERVICE	9,664	0	0	0	0	0
53 2724900	MEALS - IN STATE	134	0	0	0	0	0
53 2811	TELEPHONE SERVICES	607	7,554	0	7,554	0	7,554
53 2812	TELECOMMUN DATA CHARGE	152	0	0	0	0	0
53 2814	CELLIULAR PHONE SERVICES	39	0	0	0	0	0
53 2821	COMPUTER/DATA PROCESS SV	0	2,400	0	2,400	0	2,400
53 2840	POSTAGE, FREIGHT, DELIVE	0	5,000	0	5,000	0	5,000

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
53 2850	PRINT, BIND, DUPLICATE	0	6,000	0	6,000	0	6,000
TOTAL PURCHASED SERVICES		7,486,772	6,991,042	-601,544	6,389,498	-601,544	6,389,498
53 3110	GENERAL OFFICE SUPPLIES	525	25,363	0	25,363	0	25,363
TOTAL SUPPLIES		525	25,363	0	25,363	0	25,363
53 4713	PC SOFTWARE	375	142,500	0	142,500	0	142,500
TOTAL PROPERTY, PLANT & EQUIPMENT		375	142,500	\$0	142,500	\$0	142,500
53 8108	TRANS OUT - NCSU	\$2,480	\$0	\$0	\$0	\$0	\$0
53 8141	TRANS-OUT TO ITS	\$2,500,000	\$3,000,000	-\$3,000,000	\$0	-\$3,000,000	\$0
TOTAL INTRAGOVERNMENTAL TRANSACTIONS		\$2,502,480	\$3,000,000	-\$3,000,000	\$0	-\$3,000,000	\$0
TOTAL REQUIREMENTS		\$10,143,029	\$11,860,088	-\$2,860,088	\$9,000,000	-\$2,860,088	\$9,000,000
ESTIMATED RECEIPTS							
43 8114	TRANS-IN-OSC-4160	\$7,390,000	\$8,860,088	\$139,912	\$9,000,000	\$139,912	\$9,000,000
TOTAL RECEIPTS		\$7,390,000	\$8,860,088	\$139,912	\$9,000,000	\$139,912	\$9,000,000
CHANGE IN FUND BALANCE		-\$2,753,029	-\$3,000,000	\$3,000,000	\$0	\$3,000,000	\$0

## Office of State Controller – Collection from Escheat Fund

## CONTINUATION BUDGET

## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<u>General Fund</u>					
24160					
Total Requirements	\$00,000,000	\$442,460	\$442,460	\$00,000,000	\$00,000,000
Receipts	\$152,325    \$	-	\$ 0	\$ 0,000,000	\$ 0,000,000
Fund Balance	\$152,325CR	\$442,460	\$442,460	\$00,000,000	\$00,000,000
Less: Recommended Adjustments	\$            -	\$            -	\$            -	\$ (000,000)	\$ (000,000)

Current Balance is \$145,308

Expenditures by Category: None

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
2500 ESCHATS -STATE AGENCIES							
2500 2500							
ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
-----							
53 8114	TRANS-OUT-OSC-4160	\$0	\$442,460	-\$442,460	\$0	-\$442,460	\$0
-----							
TOTAL	INTRAG OVERMENTAL TRANSACTIONS	\$0	\$442,460	-\$442,460	\$0	-\$442,460	\$0
-----							
TOTAL	REQUIR EMENTS	\$0	\$442,460	-\$442,460	\$0	-\$442,460	\$0
-----							
ESTIMATED RE CEIPTS							
-----							
43 7995	OTHER MISC. REV-GENERAL	\$152,325	\$0	\$0	\$0	\$0	\$0
-----							
TOTAL	RECEIP TS	\$152,325	\$0	\$0	\$0	\$0	\$0
-----							
CHANGE IN FU ND BALANCE		\$152,325	-\$442,460	\$442,460	\$0	\$442,460	\$0
-----							

## Office of State Controller – NC Flex Savings Collection

## CONTINUATION BUDGET

## Fiscal Data

	<u>2009-2010 Actual</u>	<u>2010-2011 Certified</u>	<u>2010-2011 Authorized</u>	<u>2011-2012 Recommended</u>	<u>2012-2013 Recommended</u>
<b><u>General Fund</u></b>					
24160					
Total Requirements	\$2,537,466	\$4,317,261	\$4,317,261	\$4,317,261	\$4,317,261
Receipts	\$6,717,268	\$ 4,317,261	\$ 4,317,261	\$ 4,317,261	\$ 4,317,261
Fund Balance	\$4,099,504CR	\$00,000,000	\$00,000,000	\$00,000,000	\$00,000,000
Less: Recommended Adjustments	\$ -	\$ -	\$ -	\$ (000,000)	\$ (000,000)

Current Balance: \$12,694,121 as of 2/23/2011

## Expenditures by Category:

Intra-Governmental Transfer (538xxx) - \$4,317,261

## 2011-12 NCFlex FICA Fund Overview

<b><u>FICA Savings</u></b>	
Current FICA Balance (Feb. 2011)	\$ 12,694,121

<b><u>NCFlex FICA Fund - Projected Expenditures</u></b>	
OSP NCFlex Program Operating Expenses	\$ 2,713,342
OSC Administrative Expenses FICA	\$ 147,854
COBRA Fund (ARRA 65%)	\$ 40,000
AD&D State Paid Premium	\$ 300,000
Wellness Program Administration	\$ 200,000
WEB Enrollment & TPA Services	\$ 1,584,000
HDM Program Audits	\$ 200,000
<b>TOTAL Projected NC Flex FICA Expenditures 2009-10</b>	<b>\$ 5,185,196</b>

HCAP Account Liability*	\$ 2,500,000
<b>Projected Expenditure Plus Liability</b>	<b>\$ 7,685,196</b>

\* Amount Required Held for Liability Purposes

BUDGET PREPARATION SYSTEM  
BUDGET PREPARATION WORKSHEET I

ACCOUNT	DESCRIPTION	2009-2010 ACTUAL (2)	2010-2011 AUTHORIZED (3)	INCR/DECR (4)	2011-2012 TOTAL (5)	INCR/DECR (6)	2012-2013 TOTAL (7)
REQUIREMENTS							
2000 FLEXIBLE BENEFITS PROG.							
2000 3000							
53 8113	TRANS-OUT-DEPT OF ADMIN	\$2,537,466	\$4,129,407	\$0	\$4,129,407	\$0	\$4,129,407
53 8114	TRANS-OUT-OSC-4160	\$50,000	\$147,854	\$0	\$147,854	\$0	\$147,854
53 8115	TRF OUT - DOA COBRA	\$30,298	\$40,000	\$0	\$40,000	\$0	\$40,000
TOTAL INTRAG OVERNMENTAL TRANSACTIONS		\$2,617,764	\$4,317,261	\$0	\$4,317,261	\$0	\$4,317,261
TOTAL REQUIR EMENTS		\$2,617,764	\$4,317,261	\$0	\$4,317,261	\$0	\$4,317,261
ESTIMATED RECEIPTS							
53 83FL	AGCY REIMB-FLEX FICA SVG	\$6,717,268	\$0	\$0	\$0	\$0	\$0
TOTAL RECEIPTS		\$6,717,268	\$0	\$0	\$0	\$0	\$0
CHANGE IN FUND BALANCE		\$4,099,504	-\$4,317,261	\$0	-\$4,317,261	\$0	-\$4,317,261